

二、本批示自二零一七年三月一日起生效。

二零一七年一月十日

行政長官 崔世安

第 15/2017 號行政長官批示

行政長官行使《澳門特別行政區基本法》第五十條賦予的職權，並根據第14/2005號行政長官批示第六款及第七款的規定，作出本批示。

一、續任下列人士為澳門特別行政區保安部隊及保安部門紀律監察委員會成員：

(一) 歐安利，由其任主席，並在其因故不能視事或缺勤時，為有關效力指定代任人；

(二) 黃顯輝；

(三) 姚鴻明；

(四) 招銀英；

(五) 徐偉坤。

二、本批示自二零一七年二月三日起產生效力。

二零一七年一月十七日

行政長官 崔世安

第 1/2017 號行政長官公告

《控制危險廢物越境轉移及其處置巴塞爾公約》（下稱《巴塞爾公約》）締約方大會於一九九八年二月二十三日至二十七日在馬來西亞古晉召開的第四次會議上，透過第IV/9號決定，建議通過對《巴塞爾公約》附件一的修正案及通過了該公約兩個新的附件（附件八和附件九），根據該公約第十八條的規定，該等附件於一九九八年十一月六日在國際上生效；

《巴塞爾公約》締約方大會於二零零二年十二月九日至十三日在瑞士日內瓦召開的第六次會議上，透過第VI/35號決定，通過了對上述附件八和附件九的修正案，根據該公約第十八條的規定，該等修正案於二零零三年十一月二十日在國際上生效；

《巴塞爾公約》締約方大會於二零零四年十月二十五日至二十九日在瑞士日內瓦召開的第七次會議上，透過第VII/19號決定，再次通過了對上述附件八和附件九的修正案，根據該公約第十八條的規定，該等修正案於二零零五年十月八日在國際上生效；

2. O presente despacho entra em vigor no dia 1 de Março de 2017.

10 de Janeiro de 2017.

O Chefe do Executivo, *Chui Sai On*.

Despacho do Chefe do Executivo n.º 15/2017

Usando da faculdade conferida pelo artigo 50.º da Lei Básica da Região Administrativa Especial de Macau e nos termos dos n.ºs 6 e 7 do Despacho do Chefe do Executivo n.º 14/2005, o Chefe do Executivo manda:

1. É renovado o mandato dos seguintes membros da Comissão de Fiscalização da Disciplina das Forças e Serviços de Segurança de Macau:

1) Leonel Alberto Alves, como presidente, sendo substituído nas suas ausências ou impedimentos pelo membro por si designado para o efeito;

2) Vong Hin Fai;

3) Io Hong Meng;

4) Chio Ngan Ieng;

5) Tsui Wai Kwan.

2. O presente despacho produz efeitos a partir de 3 de Fevereiro de 2017.

17 de Janeiro de 2017.

O Chefe do Executivo, *Chui Sai On*.

Aviso do Chefe do Executivo n.º 1/2017

Considerando que a Conferência das Partes na Convenção de Basileia sobre o Controlo dos Movimentos Transfronteiriços de Resíduos Perigosos e sua Eliminação, doravante designada por Convenção de Basileia, na sua Quarta Reunião, realizada em Kuching, Malásia, de 23 a 27 de Fevereiro de 1998, através da sua Decisão IV/9, propôs a adopção de uma emenda ao Anexo I da Convenção de Basileia e adoptou dois novos anexos à mesma (Anexos VIII e IX), tendo os mesmos entrado em vigor na ordem internacional, nos termos do disposto no artigo 18.º da referida convenção, em 6 de Novembro de 1998;

Considerando igualmente que os *supra* referidos Anexos VIII e IX foram objecto de emendas adoptadas pela Conferência das Partes na Convenção de Basileia, na sua Sexta Reunião, realizada em Genebra, Suíça, de 9 a 13 de Dezembro de 2002, através da sua Decisão VI/35, as quais entraram em vigor na ordem internacional, nos termos do disposto no artigo 18.º da referida convenção, em 20 de Novembro de 2003;

Mais considerando que os *supra* referidos Anexos VIII e IX foram novamente objecto de emendas adoptadas pela Conferência das Partes na Convenção de Basileia, na sua Sétima Reunião, realizada em Genebra, Suíça, de 25 a 29 de Outubro de 2004, através da sua Decisão VII/19, as quais entraram em vigor na ordem internacional, nos termos do disposto no artigo 18.º da referida convenção, em 8 de Outubro de 2005;

《巴塞爾公約》締約方大會於二零一三年四月二十八日至五月十日在瑞士日內瓦召開的第十一次會議上，透過第BC-11/6號決定，又一次通過了對上述附件九的修正案，根據該公約第十八條的規定，該修正案於二零一四年五月二十七日在國際上生效；

上述附件及修正案均適用於澳門特別行政區，並於國際上生效之日產生效力；

基於此，行政長官根據第3/1999號法律《法規的公佈與格式》第六條第一款的規定，命令公佈：

——包含對《巴塞爾公約》附件一的修正及通過附件八和附件九的第IV/9號決定的中文及英文正式文本；

——包含對《巴塞爾公約》附件八和附件九的修正的第VI/35號決定的中文及英文正式文本；

——包含對《巴塞爾公約》附件八和附件九的修正的第VII/19號決定的中文及英文正式文本；

——包含對《巴塞爾公約》附件九的修正的第BC-11/6號決定的中文及英文正式文本。

二零一七年一月十七日發佈。

行政長官 崔世安

Considerando ainda que o *supra* referido Anexo IX foi objecto de novas emendas adoptadas pela Conferência das Partes na Convenção de Basileia, na sua Décima Primeira Reunião, realizada em Genebra, Suíça, de 28 de Abril a 10 de Maio de 2013, através da sua Decisão BC-11/6, as quais entraram em vigor na ordem internacional, nos termos do disposto no artigo 18.º da referida convenção, em 27 de Maio de 2014;

Mais considerando que os *supra* referidos anexos e emendas são aplicáveis na Região Administrativa Especial de Macau, tendo produzido efeitos nas datas em que entraram internacionalmente em vigor;

O Chefe do Executivo manda publicar, nos termos do n.º 1 do artigo 6.º da Lei n.º 3/1999 (Publicação e formulário dos diplomas):

— A Decisão IV/9, que contém uma emenda ao Anexo I e a adopção dos Anexos VIII e IX da Convenção de Basileia, nos seus textos autênticos em línguas chinesa e inglesa;

— A Decisão VI/35, que contém emendas aos Anexos VIII e IX da Convenção de Basileia, nos seus textos autênticos em línguas chinesa e inglesa;

— A Decisão VII/19, que contém emendas aos Anexos VIII e IX da Convenção de Basileia, nos seus textos autênticos em línguas chinesa e inglesa;

— A Decisão BC-11/6, que contém emendas ao Anexo IX da Convenção de Basileia, nos seus textos autênticos em línguas chinesa e inglesa.

Promulgado em 17 de Janeiro de 2017.

O Chefe do Executivo, *Chui Sai On*.

IV/9. 《公約》附件的修正和通過

締約方大會，

憶及締約方大會第 III/1 號決定指示技術工作組，除其他事項外，充分優先注重完成有關危險特性說明和名錄編製方面的工作，以便將之提交給締約方大會第四次會議核准，

憶及締約方大會第 III/12 號決定指示技術工作組，除其他事項外，考慮設法根據技術工作組的工作結果進一步編製危險廢物名錄以及對之進行審查的適用程序，並進一步編製不屬於本《公約》範圍之內的廢物名錄，

注意到技術工作組所開展的工作、特別是其在編製依照第 1 條第 1(a) 款被列為危險廢物的廢物名錄（名錄 A 列於關於綜合性廢物名錄及其審查和調整適用程序的說明（UNEP/CHW.4/3）之中）和不屬於本《公約》第 1 條第 1(a) 款範圍之內的廢物名錄（名錄 B 列於關於綜合性廢物名錄及其審查和調整適用程序的說明之中）方面的工作，並注意到在制訂一套對這些名錄進行審查或調整的程序以及在編製一套用於將廢物列入這些名錄或從中刪除的申請表格方面所取得的進展，

認為附件一和附件三仍應是為了本《公約》的目的將廢物確定為危險廢物方面予以考慮的因素、由技術工作組所編製的名錄 A 和 B 可用於確定廢物是否屬於本《公約》第 1 條第 1(a) 款的範圍，從而為實施本《公約》、包括其中第 4A 條提供了便捷的途徑，且這些名錄應具有平等的地位，

注意到列於名錄 A 和 B 中的廢物通過參照附件一和附件三對本《公約》第 1 條第 1 (a) 款的規定作出了進一步的闡明和澄清，

認識到並未意在使名錄 A 和名錄 B 詳盡無遺，

注意到不限成員名額特設委員會在其第三次會議上決定建議締約方大會延長技術工作組的任期，以便承擔編製用於審查或調整廢物名錄的程序的任務，並建議締約方大會為此目的通過列於有關綜合性廢物名錄及其審查和調整適用程序的說明之中的申請表格，

注意到第 IV/6 號決定指示技術工作組繼續不斷審查廢物名錄，並就其訂正或調整問題向締約方大會提出建議，

進一步注意到第 IV/6 號決定指示技術工作組審查有關對廢物名錄進行審查和調整的程序、包括列於有關綜合性廢物名錄及其審查和調整適用程序的說明之中的申請表格，並向締約方大會第五次會議提交一項提議供核准，

決定通過對本《公約》的附件作如下修正和增列如下新附件：

1. 在附件一末尾處增列以下各款：

(a) 為了便利本《公約》的實施，且在不違反第 (b)、(c) 和 (d) 各款的情況下，列於附件八中的廢物應為依照本《公約》第 1 條第 1 (a) 款所確定的危險廢物，列於附件九中的廢物應為不屬於本《公約》第 1 條第 1 (a) 款範圍之內的廢物。

(b) 指定將某一廢物列入附件八並不排除在特定情形中採用附件三來表明某一廢物並非為本《公約》第1條第1(a)款所界定的危險廢物。

(c) 指定將某一廢物列入附件九並不排除在特定情形中將此種廢物確定為本《公約》第1條第1(a)款所界定的危險廢物，如果該廢物的附件一材料的含量足以使其表現出附件三的特性。

(d) 為了確定廢物的特性，附件八和附件九不影響本《公約》第1條第1(a)款的適用。

2. 在《公約》中增列以下兩項新附件，作為其附件八和附件九。

附件八

名錄 A

本附件所列廢物根據本公約第 1 條第 1(a)款被確定具有危險性，將其列入本附件並不意味不可以採用附件三來表明廢物不具有危險性。

A1 金屬和含金屬廢物

A1010 金屬廢物和由以下任何物質的合金構成的廢物：

- 銻
- 砷
- 鉍
- 鎳
- 鉛
- 汞
- 硒
- 碲
- 鉭

但不包括名錄 B 明確列有的廢物。

A1020 其成分或污染體為以下任何物質的廢物，不包括大塊金屬廢物：

- 銻；銻化合物
- 鉍；鉍化合物

- 鎳；鎳化合物
- 鉛；鉛化合物
- 硒；硒化合物
- 碲；碲化合物

A1030 其成分或污染體為以下任何物質的廢物：

- 砷；砷化合物
- 汞；汞化合物
- 鉍；鉍化合物

A1040 其成分為以下任何物質的廢物：

- 金屬碳基化合物
- 六價鉻化合物

A1050 電鍍廢渣

A1060 金屬酸浸產生的廢液體

A1070 鋅處理產生的瀝濾殘餘物，諸如黃鉀鐵矾、赤鐵礦一類的廢渣

A1080 未列入名錄 B 的廢鋅殘餘物，其鉛和鎳的含量足以產生附件三所列特性

A1090 焚燒有絕緣包皮銅線產生的灰燼

A1100 銅熔煉爐氣體清掃系統產生的灰土和殘餘物

A1110 銅電精煉和銅電解沉積製取過程產生的廢電解溶液

- A1120 銅電精煉和銅電解沉積製取的電解淨化系統中的廢渣，但不包括陽極泥
- A1130 含有溶解銅的廢浸蝕液
- A1140 廢氯化銅和銅氰化物催化劑
- A1150 焚燒未列入名錄 B¹的印製線路板產生的稀有金屬灰燼
- A1160 廢鉛酸性電池，完整或破碎的
- A1170 混雜廢電池，但不包括名錄 B 所列電池的混合體。名錄 B 未明列但含有附件一成分而使其具有危險性的廢電池
- A1180 電裝置和電子裝置或廢裝置²，附有名錄 A 所列蓄電池和其他電池、汞開關、陰極射線管的玻璃和其他具有放射性的玻璃和多氯聯苯電容器，或被附件一物質（例如鎘、汞、鉛、多氯聯苯）污染的程度使其具有附件三所列特性（注意名錄 B 的有關條目 B1110）³

A2 主要為無機成分但可能含有金屬和有機物質的廢物

- A2010 陰極放射管的廢玻璃和其他具有放射性的玻璃
- A2020 液體或廢渣形式的廢無機氟化物，但不包括名錄 B 所列廢物
- A2030 廢催化劑，但不包括名錄 B 所列廢物

¹ 注意名錄 B 上的相應條目（〔 B1160 〕）未規定例外情況。

² 本條目不包括廢發電裝置。

³ 多氯聯苯含量為 50 毫克/公斤或以上。

A2040 化學工業加工產生的其附件一成分含量使其具有附件三危險特性的廢石膏（注意名錄 B 的有關係目 B2080）

A2050 廢石棉（灰塵和纖維）

A2060 煤發電廠產生的其附件一成分含量使其具有附件三危險特性的粉煤灰（注意名錄 B 的有關係目 B2050）

A3 主要為有機成分但可能含有金屬和無機物質的廢物

A3010 生產和或加工石油焦炭和瀝青產生的廢物

A3020 不適合原用途的礦物油

A3030 含有、其成分為加鉛抗爆化合物的廢渣或被這類廢渣污染的廢物

A3040 廢導熱（傳熱）液

A3050 從樹脂、膠乳、增塑劑、膠水/膠合劑的生產、配製和使用中產生的廢物，但不包括名錄 B 所列廢物塵（注意名錄 B 的有關係目 B4020）

A3060 廢硝化纖維

A3070 廢酚、酚化合物，包括液體或廢渣形式的氯酚

A3080 廢乙醚，不包括名錄 B 所列者

A3090 含有六價鉻或生物殺傷劑的皮類廢塵、灰、渣和粉面（注意名錄 B 的有關係目 B3100）

- A3100 削切下的廢皮或其他廢皮或不適於生產皮製物品的含有六價鉻或生物殺傷劑的合成皮（注意名錄 B 的有關條目 B3090）
- A3110 含有六價鉻或生物殺傷劑的毛皮廢物（注意名錄 B 的有關條目 B3110）
- A3120 絨毛－纖維梳散產生的輕質部分
- A3130 廢有機磷化合物
- A3140 廢非鹵化有機溶劑，但名錄 B 所列廢物不在此列
- A3150 廢鹵化有機溶劑
- A3160 回收有機溶劑產生的鹵化或非鹵化的無水蒸餾殘餘廢物
- A3170 生產鹵化鏈烴（如甲基氯、二氯乙烷、氯乙烯、亞乙烯基氯、烯丙基氯和表氯醇）產生的廢物
- A3180 含有或沾染多氯聯苯（PCB）、多氯三聯苯（PCT）、多氯萘（PCN）或多溴聯苯（PBB）或這些化合物的任何其他多溴類似物體或被這類物質污染且含量為 50 毫克/公斤或更高⁴的廢物、物質和物品
- A3190 對有機物質進行精煉、蒸餾和熱解處理產生的廢柏油殘餘物（不包括膏體地瀝青）

⁴ 對所有廢物而言，50 毫克/公斤是國際公認的實際可行含量，許多國家為具體廢物規定了較低的含量。

A4 含有無機成分或有機成分的廢物

- A4010 從藥品的生產和製作中產生的廢物，但不包括名錄 B 所列廢物
- A4020 臨床廢物和有關的廢物；即醫療、護理、牙科、獸醫或類似活動產生的廢物和醫院或其他設施在檢查和醫治病入過程中產生的廢物或研究設施產生的廢物
- A4030 從生物殺傷劑和植物藥物的生產、配製和使用中產生的廢物，包括不合格、過期⁵、或不適用於原定用途的殺蟲劑和除草劑
- A4040 從木材防腐化學品的製作、配製和使用中產生的廢物⁶
- A4050 含有以下物質、成分為以下物質或被其污染的廢物：
- 無機氰化物、內有痕量無機氰化物的含稀有金屬固體廢渣除外
 - 有機氰化物
- A4060 廢油/水、廢煙/水混合物乳化液
- A4070 從墨水、染料、顏料、油漆、真漆和黑光漆的生產、配製和使用中產生的廢物，不包括名錄 B 所列廢物（注意名錄 B 的有關條目 B4010）
- A4080 具有爆炸性的廢物（但不包括名錄 B 所列此類廢物）

⁵ “過期”指未在製造商建議的限期內使用者。

⁶ 這一條目不包括用防腐化學品處理過的木材。

- A4090 廢酸性或鹼性溶液，但不包括名錄 B 相應條目中所列者
(注意名錄 B 的有關條目 B2120)
- A4100 用於清除工業廢氣的工業性控制污染設施產生的廢物，
但不包括名錄 B 所列此類廢物
- A4110 含有以下物質、成分為以下物質或被其污染的廢物：
- 多氯苯並呋喃的同系物
 - 多氯苯並二惡英的同系物
- A4120 含有、成分為過氧化物或被其污染的廢物
- A4130 其附件一物質含量足以使其具有附件三危險特性的廢包
裝和容器
- A4140 成分為或含有相當於附件一類別的並具有附件三危險特
性的不合格或過期⁷化學品的廢物
- A4150 從研究和發展或教學活動中產生的尚未鑑定的和或新的
並且對人類健康和/或環境的影響未明的化學廢物
- A4160 名錄 B 未列入的用過的放射性碳 (注意名錄 B 的有關係
條目 B2060)

⁷ “過期”指未在製造商建議的期限內使用者。

附件九

名錄 B

本附件所列廢物為除非其附件一物質的含量使其具有附件三特性否則將不屬《巴塞爾公約》第 1 條第 (a) 款範疇者。

B1 金屬廢物和含金屬廢物

B1010 具有金屬性質及非鬆散形式的金屬和合金廢物：

- 廢貴金屬（金、銀、白金類，但不包括汞）
- 廢鋼鐵
- 廢銅
- 廢鎳
- 廢鋁
- 廢鋅
- 廢錫
- 廢鎢
- 廢鉬
- 廢鉭
- 廢鎂
- 廢鈷
- 廢鈹
- 廢鈦
- 廢銻
- 廢錳

- 廢鋳
 - 廢釩
 - 廢鉻、錳、鈮、銻和鎵
 - 廢鈦
 - 廢稀土
- B1020 下列乾淨、未受污染的廢金屬，包括散裝成品形式的合金（薄板、板材、樑、輥等）：
- 廢錫
 - 廢鈹
 - 廢鎳
 - 廢鉛（但不包括鉛酸性電池）
 - 廢硒
 - 廢碲
- B1030 含有殘餘物的高熔點金屬
- B1040 受潤滑油、多氯聯苯（PCB）或多氯三聯苯（PCT）污染的程度不致使其具有危險性的廢舊發電設備
- B1050 其附件一物質含量不致使其具有附件三特性⁸的混雜有色金屬、重餾分廢料
- B1060 金屬基本形式、包括粉狀的廢硒和廢碲
- B1070 鬆散廢銅和銅合金，除非它們的附件一物質含量使其具

⁸ 注意，即使附件一物質的含量在開始時很低，其後進行的加工，包括回收，也可產生附件一物質含量大大增加的分餾體。

有附件三特性

- B1080 鬆散鋅粉末和殘餘物，包括鋅合金，除非它們的附件一物質含量使其具有附件三特性或危險特性 H4.3⁹
- B1090 符合某一規格的電池，不包括用鉛、鎘或汞製造的電池
- B1100 金屬熔化、熔煉和精煉產生的含金屬廢物：
- 硬質鋅塊
 - 含鋅浮渣：
 - 電鍍板表層鋅浮渣 (>90%Zn)
 - 電鍍板底層鋅浮渣 (>92%Zn)
 - 鋅壓鑄浮渣 (>85%Zn)
 - 熱浸電鍍板鋅浮渣
 - 鋅撇渣
 - 鋁浮渣（即撇渣），不包括鹽熔渣
 - 銅加工產生的用於進一步加工或精煉的熔渣，其砷、鉛或鎘的含量不致使其具有附件三危險特性
 - 銅熔煉產生的分餾鑲襯廢物、包括坩鍋
 - 稀有金屬加工產生的用於進一步精煉的浮渣
 - 錫含量低於 0.5%的含鉍的錫浮渣
- B1110 電和電子裝置：

⁹ 目前正對鋅粉的地位進行審議，聯合國貿易和發展會議（貿發會議）有人建議不將鋅粉視為危險物品。

- 僅由金屬或合金構成的電子裝置
- 電裝置和電子裝置或廢裝置¹⁰，(包括印製電路板不附有名錄 A 所列蓄電池和其他電池、汞開關、陰極射線管的玻璃和其他具有放射性的玻璃和多氯聯苯電容器，或被附件一物質(例如鎘、汞、鉛、多氯聯苯)污染的程度或在對這類物質進行清除後不致使其具有附件三所列特性(注意名錄 B 的有關條目 A1180))
- 用於直接再使用¹¹而不是回收或最後處置的電和電子裝置(包括印刷線板、電子部件和電線電纜)¹²

B1120 含有以下任何物質的用過的催化劑，不包括用作催化劑的液體：

過渡金屬，不包括名錄 A	鈦	鈦
上的廢催化劑(用過的催	鈳	鉻
化劑、用過的液體催化劑	錳	鐵
或其他催化劑)：	鈷	鎳
	銅	鋅
	鈮	鋳
	鈮	鉬
	鉛	鉭
鑰系元素(稀土金屬)：	鎢	銻
	鐳	銻

¹⁰ 本條目不包括廢發電裝置。

¹¹ 再使用包括修理、整修或升格，但不包括重大組裝。

¹² 在一些國家中，這些用於直接再使用的材料不視為是廢物。

鐳	釷
釷	鎊
釷	鈾
鐳	鈾
鈾	鈾
鈾	鐳

- B1130 經過清理的用過的含稀有金屬催化劑
- B1140 內有痕量無機氰化物的含稀有金屬的固體殘餘物
- B1150 有適當包裝和標籤的鬆散非液體稀有金屬和合金(金、銀、鉑類，但不包括汞)廢物
- B1160 焚燒印刷線路板產生的稀有金屬粉末(注意名錄 A 的有關條目 A1150)
- B1170 焚燒攝影膠卷產生的稀有金屬粉末
- B1180 含有鹵化銀和金屬銀的廢攝影膠卷
- B1190 含有鹵化銀和金屬銀的攝像紙
- B1200 生產鋼鐵產生的顆粒狀浮渣
- B1210 生產鋼鐵產生的浮渣，包括獲取 TiO₂ 和鈾的浮渣
- B1220 生產鋅產生的浮渣，加化學穩定劑且鐵含量高(20%以上)，並根據工業規格加工(例如：DIN4301)，主要用於建築
- B1230 生產鋼鐵產生的鋼鐵軋屑

B1240 氧化銅軋屑

B2 主要為無機成分但可能含有金屬和有機物質的廢物

B2010 採礦產生的非鬆散性廢物

- 廢天然石墨
- 經過鋸或其他方式產生的廢石片，無論是經過粗加工還是只是經過切割的
- 廢雲母
- 廢白榴石、霞石和霞石正長岩廢物
- 廢長石
- 廢螢石
- 廢固體硅，不包括用於澆鑄者

B2020 非鬆散性廢玻璃：

- 碎玻璃和其他廢玻璃和玻璃片，不包括陰極射線管的玻璃和有放射性的玻璃

B2030 非鬆散性廢陶瓷：

- 金屬陶瓷和碎片（金屬陶瓷混合物）
- 它處未標明或列入的陶瓷纖維

B2040 主要成分為無機物的其他廢物

- 煙道廢氣除硫產生的部分淨化的硫酸鈣
- 建築物拆除產生的廢灰板或灰泥板

- 生產銅產生的浮渣，加化學穩定劑且鐵含量高（20%以上），並根據工業規格加工（例如：DIN4301 和 DIN8201），主要用於建築和研磨用途
 - 固體硫
 - 生產氰氨化鈣產生的石灰（pH 值低於 9）
 - 氧化鈉、氧化鉀、氯化鈣
 - 碳化硅（金剛沙）
 - 碎水泥
 - 含鋰－鈦和鋰－鈮的碎玻璃
- B2050 煤發電廠產生的未列入附件一的粉煤灰（注意名錄 A 的有關條目 A2060）
- B2060 對飲用水進行處理、食品工業加工和生產維生素所產生的用過的活性碳（注意名錄 A 的有關條目 A4160）
- B2070 氯化鈣污泥
- B2080 廢化學工業加工產生的未列入名錄 A 的廢石膏（請注意名錄 A 的有關條目 A2040）
- B2090 鐵或鋁生產中產生的用石油焦炭或瀝青製作的並根據通常工業規格清洗的廢陽極（不包括氯鹼電解和冶金工業使用的陽極）
- B2100 生產鋁產生的廢氫氧化鋁及廢氧化鋁和殘淨化，不包括用於氣體清掃、凝聚或濾除的物質

B2110 鋁矾土（紅土）殘餘物（PH 值調整後不到 11.5）

B2120 PH 值大於 2 和小於 11.5 的廢酸性或鹼性溶液，沒有腐蝕性或其他危險（注意名錄 A 的有關條目 A2090）

B3 主要為有機成分但可能含有金屬和無機物質的廢物

B3010 固體塑料廢物：

以下塑料或混雜塑料材料，條件是它們不同其他廢物混雜在一起且按規格進行預處理：

- 成分為非鹵化聚合物和多聚物的廢塑料，包括但不限於以下物質¹³：

- 乙烯
- 苯乙烯
- 聚丙烯
- 聚對苯二甲酸乙酯
- 丙烯腈
- 丁二烯
- 聚醛
- 聚酰胺
- 聚丁烯對苯二酸酯
- 聚碳酸酯

¹³ 這類廢物此處理解為已經完全聚合。

- 聚醚
- 聚苯撐硫
- 丙烯聚合物
- 烷烴 C10—C13（增塑劑）
- 聚胺基甲酸酯（不含氟氯化碳）
- 聚硅氧烷
- 甲基丙烯酸甲酯
- 聚乙烯醇
- 聚乙烯醇縮丁醛
- 聚醋酸乙烯酯
- 乾結的廢樹脂或縮聚產品，包括：
 - 脲醛樹脂
 - 苯酚甲醛樹脂
 - 三聚氰胺樹脂
 - 環氧樹脂
 - 醇酸樹脂
 - 聚酰胺
- 以下廢氟化聚合物¹⁴：
 - 全氟乙烯/全氟乙丙烯
 - 全氟烷氧基鏈烷烴（PFA）

¹⁴ 要審議露天焚燒產生的問題。

- 全氟烷氧基烷烴（MFA）
- 聚氟乙烯
- 聚偏氟乙烯（PVDF）

B3020 廢紙、廢紙板和廢紙製品

以下物品，但它們不得同危險廢物混雜在一起：

回收（廢碎）的紙或紙板：

- 回收（廢碎）的未經漂白處理的牛皮紙或紙板或回收（廢碎）的瓦楞紙或紙板
- 回收（廢碎）的主要由漂白化學漿製未經本體染色的其他紙或紙板
- 回收（廢碎）的主要由機械漿製的紙或紙板（例如報紙、雜誌和類似的印刷品）
- 回收（廢碎）的其他紙製品，包括但不限於 1）多層紙 20 未分類的碎紙：

B3030 紡織廢物

以下物品，但它們不得同其他廢物混雜在一起且按規格進行了預處理：

- 廢絲（包括不適於縲絲的蠶繭、廢紗和回收纖維）
 - 未梳廢絲
 - 其他廢絲

- 羊毛動物細毛或粗毛的廢料，包括廢紗線但不包括回收纖維
 - 羊毛或動物細毛的落毛
 - 羊毛或細動物毛的其他廢物
 - 動物粗毛的廢料
- 廢毛（包括廢棉紗線及回收纖維）
 - 廢棉紗線（包括廢棉線）
 - 棉的回收纖維
 - 其他廢棉
- 亞麻短纖和廢麻
- 大麻（*Cannabis sativa* L.）的短纖和廢麻（包括廢麻紗線纖維）
- 黃麻和其他紡織品纖維（不包括亞麻、大麻和苧麻）的短纖麻（包括廢紗線和回收纖維）
- 西沙爾麻和其他紡織用龍舌蘭類纖維的短纖和廢物（包括線和回收纖維）
- 椰殼纖維的短纖、落麻和廢料
- 蕉麻（馬尼拉麻）或 *Musa textilis* Nee）的短纖、落麻和（包括廢紗和回收纖維）
- 苧麻和他處未作規定或列入的其他植物紡織纖維的短纖、和廢料（包括廢紗線和回收纖維）
- 廢人造纖維廢料（包括落棉、廢紗或回收纖維）

- 合成纖維廢料
- 人造纖維廢料
- 舊衣者及舊紡織物品
- 紡織材料的舊的碎織物及廢線、繩、索、纜及其製品
 - 分類的
 - 其他

B3040 廢橡膠

以下物品，但不得與其他廢物混雜在一起：

- 硬質橡膠的碎廢料（如純硬質膠）
- 其他廢橡膠（不包括他處另有規定的廢物）

B3050 未經處理的軟木和木質廢物

- 廢碎木，不論是否黏結成圓木團、塊、片或類似形狀
- 廢軟木：碎的、粒狀的或粉狀的軟木

B3060 農業－食品工業產生的廢物，但不得有傳染性：

- 葡萄酒渣
- 動物飼料用的其他處未具體規定或列入的經乾燥和消毒處理的廢植物、渣和副產品，不論是否製成團粒
- 油鞣回收脂：加工處理油脂物質及動、植物蠟所剩的殘渣
- 骨和角柱廢物，未經加工或經脫脂所剩的殘渣簡單整理（但未切割成狀）、酸處理或脫膠

- 魚類廢物
- 椰殼、皮、外皮和其他廢物
- 農業－食品工業產生的其他廢物，不包括達到消費者使用的國家和國際要求和標準的副產品

B3070 以下廢物：

- 廢人髮
- 廢稻草
- 生產青霉素產生的失去活力的準備用作動物飼料的霉菌菌絲

B3080 廢片屑和碎橡膠

B3090 皮革或再生皮革的邊角廢料，不適宜作皮革製品用，不包括皮革廢渣，不含有含有六價鉻化合物或生物殺傷劑的合成皮（注意名錄 A 的有關條目 A3100）

B3100 不含六價鉻或生物殺傷劑的皮類廢塵、灰、渣和粉（注意名錄 A 的有關條目 A3090）

B3110 不含六價鉻或生物殺傷劑的毛皮廢物（注意名錄 A 的有關條目 A3110）

B3120 食品染料廢物

B3130 廢聚合乙醚和不能形成過氧化物的不具危險性的廢單體乙醚

B3140 廢充氣輪胎，不包括用作附件四.A 用途者

B4 含有無機成分或有機成分的廢物

B4010 其主要成分是水質乳膠漆、墨汁和乾硬清漆且不含有機溶劑、重金屬或生物殺傷劑，因而不具有危險性的廢物（注意名錄 A 的有關係目 A4070）

B4020 生產、配製和使用未列入名錄 A、不含有溶劑和其他污染物因而不具有附件三特性的樹脂、乳脂、膠水/膠合劑所產生的廢物，例如用水作為基質或膠水是用酪澱粉、糊精、纖維素醚和聚乙烯醇作基質製成者（注意名錄 A 的有關係目 A4050）

B4030 用過的一次性照相機，其電池未列入名錄 A

IV/9. Amendment and adoption of annexes to the Convention

The Conference,

Recalling decision III/1 of the Conference of the Parties, which instructed the Technical Working Group, among other things, to give full priority to completing the work on hazard characterization and the development of lists, in order to submit them for approval to the fourth meeting of the Conference of the Parties,

Recalling decision III/12 of the Conference of the Parties, which instructed the Technical Working Group, among other things, to consider ways of taking forward the development of lists of hazardous wastes and the applicable procedure for their review based on the outcome of the work of the Technical Working Group, as well as further developing lists of wastes not covered by this Convention,

Taking note of the work carried out by the Technical Working Group and in particular the development of a list of wastes that are characterized as hazardous pursuant to Article 1, paragraph 1 (a), (list A contained in the note on consolidated lists of wastes and the applicable procedures for their review and adjustment (UNEP/CHW.4/3)) and a list of wastes that are not covered by article 1, paragraph 1 (a), of this Convention (list B contained in the note on consolidated lists of wastes and the applicable procedures for their review and adjustment), as well as the progress made in the development of a procedure for reviewing or adjusting these lists and of an application form required for the placement or removal of wastes on these lists,

Considering that Annex I and Annex III shall remain the factors to characterize wastes as hazardous for the purpose of this Convention, that lists A and B developed by the Technical Working Group provide an expeditious way to facilitate the implementation of this Convention, including Article 4A, by establishing wastes that are and wastes that are not covered by Article 1, paragraph 1 (a), of this Convention, and that these lists should have equal status,

Noting that wastes listed in lists A and B are an elaboration and clarification of the provisions of Article 1, paragraph 1 (a), of this Convention by reference to Annexes I and III,

Recognizing that List A and List B are not intended to be exhaustive,

Taking note that the Open-ended Ad Hoc Committee decided at its third meeting to propose that the Conference of the Parties extend the mandate of the Technical Working Group to take charge of the procedure for reviewing or adjusting the lists of wastes and that the Conference of the Parties adopt the application form for this purpose, as set out in the note on consolidated lists of wastes and the applicable procedures for their review and adjustment,

Taking note that, pursuant to decision IV/6, the Technical Working Group is instructed to keep the lists of wastes under review and to make recommendations to the Conference of the Parties for revisions or adjustments,

Further taking note that, pursuant to decision IV/6, the Technical Working Group is instructed to review the procedure for reviewing or adjusting the lists of wastes, including the Application Form as set out in the note on consolidated lists of wastes and the applicable procedures for their review and adjustment and to submit a proposal for approval at the fifth meeting of the Conference of the Parties,

Decides to adopt the following amendment and adoption of annexes to this Convention:

1. Add the following paragraphs at the end of Annex I:

(a) To facilitate the application of this Convention, and subject to paragraphs (b), (c) and (d), wastes listed in Annex VIII are characterized as hazardous pursuant to Article 1, paragraph 1 (a), of this Convention, and wastes listed in Annex IX are not covered by Article 1, paragraph 1 (a), of this Convention.

(b) Designation of a waste on Annex VIII does not preclude, in a particular case, the use of Annex III to demonstrate that a waste is not hazardous pursuant to Article 1, paragraph 1 (a), of this Convention.

(c) Designation of a waste on Annex IX does not preclude, in a particular case, characterization of such a waste as hazardous pursuant to Article 1, paragraph 1 (a), of this Convention if it contains Annex I material to an extent causing it to exhibit an Annex III characteristic.

(d) Annexes VIII and IX do not affect the application of Article 1, paragraph 1 (a), of this Convention for the purpose of characterization of wastes.

2. Add the following two new annexes to the Convention as its Annexes VIII and IX.

Annex VIII

LIST A

Wastes contained in this Annex are characterized as hazardous under Article 1, paragraph 1 (a), of this Convention, and their designation on this Annex does not preclude the use of Annex III to demonstrate that a waste is not hazardous.

A1 Metal and metal-bearing wastes

- A1010 Metal wastes and waste consisting of alloys of any of the following:
- Antimony
 - Arsenic
 - Beryllium
 - Cadmium
 - Lead
 - Mercury
 - Selenium
 - Tellurium
 - Thallium
- but excluding such wastes specifically listed on list B.
- A1020 Waste having as constituents or contaminants, excluding metal waste in massive form, any of the following:
- Antimony; antimony compounds
 - Beryllium; beryllium compounds
 - Cadmium; cadmium compounds
 - Lead; lead compounds
 - Selenium; selenium compounds
 - Tellurium; tellurium compounds
- A1030 Wastes having as constituents or contaminants any of the following:
- Arsenic; arsenic compounds
 - Mercury; mercury compounds.
 - Thallium; thallium compounds
- A1040 Wastes having as constituents any of the following:
- Metal carbonyls
 - Hexavalent chromium compounds
- A1050 Galvanic sludges
- A1060 Waste liquors from the pickling of metals
- A1070 Leaching residues from zinc processing, dust and sludges such as jarosite, hematite, etc.

- A1080 Waste zinc residues not included on list B, containing lead and cadmium in concentrations sufficient to exhibit Annex III characteristics
- A1090 Ashes from the incineration of insulated copper wire
- A1100 Dusts and residues from gas cleaning systems of copper smelters
- A1110 Spent electrolytic solutions from copper electrorefining and electrowinning operations
- A1120 Waste sludges, excluding anode slimes, from electrolyte purification systems in copper electrorefining and electrowinning operations
- A1130 Spent etching solutions containing dissolved copper
- A1140 Waste cupric chloride and copper cyanide catalysts
- A1150 Precious metal ash from incineration of printed circuit boards not included on list B 1/
- A1160 Waste lead-acid batteries, whole or crushed
- A1170 Unsorted waste batteries excluding mixtures of only list B batteries. Waste batteries not specified on list B containing Annex I constituents to an extent to render them hazardous.
- A1180 Waste electrical and electronic assemblies or scrap 2/ containing components such as accumulators and other batteries included on list A, mercury-switches, glass from cathode-ray tubes and other activated glass and PCB-capacitors, or contaminated with Annex I constituents (e.g., cadmium, mercury, lead, polychlorinated biphenyl) to an extent that they possess any of the characteristics contained in Annex III (note the related entry on list B B1110) 3/

A2 Wastes containing principally inorganic constituents, which may contain metals and organic materials

- A2010 Glass waste from cathode-ray tubes and other activated glasses
- A2020 Waste inorganic fluorine compounds in the form of liquids or sludges but excluding such wastes specified on list B
- A2030 Waste catalysts but excluding such wastes specified on list B

1/ Note that mirror entry on list B (B1160) does not specify exceptions.

2/ This entry does not include scrap assemblies from electric power generation.

3/ PCBs are at a concentration level of 50 mg/kg or more.

- A2040 Waste gypsum arising from chemical industry processes, when containing Annex I constituents to the extent that it exhibits an Annex III hazardous characteristic (note the related entry on list B B2080)
- A2050 Waste asbestos (dusts and fibres)
- A2060 Coal-fired power plant fly-ash containing Annex I substances in concentrations sufficient to exhibit Annex III characteristics (note the related entry on list B B2050)
- A3 Wastes containing principally organic constituents, which may contain metals and inorganic materials
- A3010 Waste from the production or processing of petroleum coke and bitumen
- A3020 Waste mineral oils unfit for their originally intended use
- A3030 Wastes that contain, consist of or are contaminated with leaded anti-knock compound sludges
- A3040 Waste thermal (heat transfer) fluids
- A3050 Wastes from production, formulation and use of resins, latex, plasticizers, glues/adhesives excluding such wastes specified on list B (note the related entry on list B B4020)
- A3060 Waste nitrocellulose
- A3070 Waste phenols, phenol compounds including chlorophenol in the form of liquids or sludges
- A3080 Waste ethers not including those specified on list B
- A3090 Waste leather dust, ash, sludges and flours when containing hexavalent chromium compounds or biocides (note the related entry on list B B3100)
- A3100 Waste paring and other waste of leather or of composition leather not suitable for the manufacture of leather articles containing hexavalent chromium compounds or biocides (note the related entry on list B B3090)
- A3110 Fellingery wastes containing hexavalent chromium compounds or biocides or infectious substances (note the related entry on list B B3110)
- A3120 Fluff - light fraction from shredding
- A3130 Waste organic phosphorous compounds
- A3140 Waste non-halogenated organic solvents but excluding such wastes specified on list B

- A3150 Waste halogenated organic solvents
- A3160 Waste halogenated or unhalogenated non-aqueous distillation residues arising from organic solvent recovery operations
- A3170 Wastes arising from the production of aliphatic halogenated hydrocarbons (such as chloromethane, dichloro-ethane, vinyl chloride, vinylidene chloride, allyl chloride and epichlorhydrin)
- A3180 Wastes, substances and articles containing, consisting of or contaminated with polychlorinated biphenyl (PCB), polychlorinated terphenyl (PCT), polychlorinated naphthalene (PCN) or polybrominated biphenyl (PBB), or any other polybrominated analogues of these compounds, at a concentration level of 50 mg/kg or more 4/
- A3190 Waste tarry residues (excluding asphalt cements) arising from refining, distillation and any pyrolytic treatment of organic materials

A4 Wastes which may contain either inorganic or organic constituents

- A4010 Wastes from the production, preparation and use of pharmaceutical products but excluding such wastes specified on list B
- A4020 Clinical and related wastes; that is wastes arising from medical, nursing, dental, veterinary, or similar practices, and wastes generated in hospitals or other facilities during the investigation or treatment of patients, or research projects
- A4030 Wastes from the production, formulation and use of biocides and phytopharmaceuticals, including waste pesticides and herbicides which are off-specification, outdated, 5/ or unfit for their originally intended use
- A4040 Wastes from the manufacture, formulation and use of wood-preserving chemicals 6/
- A4050 Wastes that contain, consist of or are contaminated with any of the following:
- Inorganic cyanides, excepting precious-metal-bearing residues in solid form containing traces of inorganic cyanides

4/ The 50 mg/kg level is considered to be an internationally practical level for all wastes. However, many individual countries have established lower regulatory levels (e.g., 20 mg/kg) for specific wastes.

5/ "Outdated" means unused within the period recommended by the manufacturer.

6/ This entry does not include wood treated with wood preserving chemicals.

- Organic cyanides
- A4060 Waste oils/water, hydrocarbons/water mixtures, emulsions
- A4070 Wastes from the production, formulation and use of inks, dyes, pigments, paints, lacquers, varnish excluding any such waste specified on list B (note the related entry on list B B4010)
- A4080 Wastes of an explosive nature (but excluding such wastes specified on list B)
- A4090 Waste acidic or basic solutions, other than those specified in the corresponding entry on list B (note the related entry on list B B2120)
- A4100 Wastes from industrial pollution control devices for cleaning of industrial off-gases but excluding such wastes specified on list B
- A4110 Wastes that contain, consist of or are contaminated with any of the following:
- Any congener of polychlorinated dibenzo-furan
 - Any congener of polychlorinated dibenzo-dioxin
- A4120 Wastes that contain, consist of or are contaminated with peroxides.
- A4130 Waste packages and containers containing Annex I substances in concentrations sufficient to exhibit Annex III hazard characteristics
- A4140 Waste consisting of or containing off specification or outdated 7/ chemicals corresponding to Annex I categories and exhibiting Annex III hazard characteristics
- A4150 Waste chemical substances arising from research and development or teaching activities which are not identified and/or are new and whose effects on human health and/or the environment are not known
- A4160 Spent activated carbon not included on list B (note the related entry on list B B2060)

7/ "Outdated" means unused within the period recommended by the manufacturer.

Annex IX

LIST B

Wastes contained in the Annex will not be wastes covered by Article 1, paragraph 1 (a), of this Convention unless they contain Annex I material to an extent causing them to exhibit an Annex III characteristic.

B1 Metal and metal-bearing wastes

- B1010 Metal and metal-alloy wastes in metallic, non-dispersible form:
- Precious metals (gold, silver, the platinum group, but not mercury)
 - Iron and steel scrap
 - Copper scrap
 - Nickel scrap
 - Aluminium scrap
 - Zinc scrap
 - Tin scrap
 - Tungsten scrap
 - Molybdenum scrap
 - Tantalum scrap
 - Magnesium scrap
 - Cobalt scrap
 - Bismuth scrap
 - Titanium scrap
 - Zirconium scrap
 - Manganese scrap
 - Germanium scrap
 - Vanadium scrap
 - Scrap of hafnium, indium, niobium, rhenium and gallium
 - Thorium scrap
 - Rare earths scrap
- B1020 Clean, uncontaminated metal scrap, including alloys, in bulk finished form (sheet, plate, beams, rods, etc), of:
- Antimony scrap
 - Beryllium scrap
 - Cadmium scrap
 - Lead scrap (but excluding lead-acid batteries)
 - Selenium scrap
 - Tellurium scrap
- B1030 Refractory metals containing residues
- B1040 Scrap assemblies from electrical power generation not contaminated with lubricating oil, PCB or PCT to an extent to render them hazardous

- B1050 Mixed non-ferrous metal, heavy fraction scrap, not containing Annex I materials in concentrations sufficient to exhibit Annex III characteristics g/
- B1060 Waste selenium and tellurium in metallic elemental form including powder
- B1070 Waste of copper and copper alloys in dispersible form, unless they contain Annex I constituents to an extent that they exhibit Annex III characteristics
- B1080 Zinc ash and residues including zinc alloys residues in dispersible form unless containing Annex I constituents in concentration such as to exhibit Annex III characteristics or exhibiting hazard characteristic H4.3 g/
- B1090 Waste batteries conforming to a specification, excluding those made with lead, cadmium or mercury
- B1100 Metal-bearing wastes arising from melting, smelting and refining of metals:
- Hard zinc spelter
 - Zinc-containing drosses:
 - Galvanizing slab zinc top dross (>90% Zn)
 - Galvanizing slab zinc bottom dross (>92% Zn)
 - Zinc die casting dross (>85% Zn)
 - Hot dip galvanizers slab zinc dross (batch) (>92% Zn)
 - Zinc skimmings
 - Aluminium skimmings (or skims) excluding salt slag
 - Slags from copper processing for further processing or refining not containing arsenic, lead or cadmium to an extent that they exhibit Annex III hazard characteristics
 - Wastes of refractory linings, including crucibles, originating from copper smelting
 - Slags from precious metals processing for further refining
 - Tantalum-bearing tin slags with less than 0.5% tin

g/ Note that even where low level contamination with Annex I materials initially exists, subsequent processes, including recycling processes, may result in separated fractions containing significantly enhanced concentrations of those Annex I materials.

g/ The status of zinc ash is currently under review and there is a recommendation with the United Nations Conference on Trade and Development (UNCTAD) that zinc ashes should not be dangerous goods.

- B1110 Electrical and electronic assemblies:
- Electronic assemblies consisting only of metals or alloys
 - Waste electrical and electronic assemblies or scrap 10/ (including printed circuit boards) not containing components such as accumulators and other batteries included on list A, mercury-switches, glass from cathode-ray tubes and other activated glass and PCB-capacitors, or not contaminated with Annex I constituents (e.g., cadmium, mercury, lead, polychlorinated biphenyl) or from which these have been removed, to an extent that they do not possess any of the characteristics contained in Annex III (note the related entry on list A A1180)
 - Electrical and electronic assemblies (including printed circuit boards, electronic components and wires) destined for direct reuse, 11/ and not for recycling or final disposal 12/
- B1120 Spent catalysts excluding liquids used as catalysts, containing any of:
- | | | |
|---|--|--|
| Transition metals, excluding waste catalysts (spent catalysts, liquid used catalysts or other catalysts) on list A: | Scandium
Vanadium
Manganese
Cobalt
Copper
Yttrium
Niobium
Hafnium
Tungsten | Titanium
Chromium
Iron
Nickel
Zinc
Zirconium
Molybdenum
Tantalum
Rhenium |
| Lanthanides (rare earth metals): | Lanthanum
Praseodymium
Samarium
Gadolinium
Dysprosium
Erbium
Ytterbium | Cerium
Neodymium
Europium
Terbium
Holmium
Thulium
Lutetium |
- B1130 Cleaned spent precious-metal-bearing catalysts
- B1140 Precious-metal-bearing residues in solid form which contain traces of inorganic cyanides

10/ This entry does not include scrap from electrical power generation.

11/ Reuse can include repair, refurbishment or upgrading, but not major reassembly.

12/ In some countries these materials destined for direct re-use are not considered wastes.

- B1150 Precious metals and alloy wastes (gold, silver, the platinum group, but not mercury) in a dispersible, non-liquid form with appropriate packaging and labelling
- B1160 Precious-metal ash from the incineration of printed circuit boards (note the related entry on list A A1150)
- B1170 Precious-metal ash from the incineration of photographic film
- B1180 Waste photographic film containing silver halides and metallic silver
- B1190 Waste photographic paper containing silver halides and metallic silver
- B1200 Granulated slag arising from the manufacture of iron and steel
- B1210 Slag arising from the manufacture of iron and steel including slags as a source of TiO_2 and vanadium
- B1220 Slag from zinc production, chemically stabilized, having a high iron content (above 20%) and processed according to industrial specifications (e.g., DTN 4301) mainly for construction
- B1230 Mill scaling arising from the manufacture of iron and steel
- B1240 Copper oxide mill-scale

B2 Wastes containing principally inorganic constituents, which may contain metals and organic materials

- B2010 Wastes from mining operations in non-dispersible form:
- Natural graphite waste
 - Slate waste, whether or not roughly trimmed or merely cut, by sawing or otherwise
 - Mica waste
 - Leucite, nepheline and nepheline syenite waste
 - Feldspar waste
 - Fluorspar waste
 - Silica wastes in solid form excluding those used in foundry operations
- B2020 Glass waste in non-dispersible form:
- Cullet and other waste and scrap of glass except for glass from cathode-ray tubes and other activated glasses
- B2030 Ceramic wastes in non-dispersible form:
- Cermet wastes and scrap (metal ceramic composites)
 - Ceramic based fibres not elsewhere specified or included

- B2040 Other wastes containing principally inorganic constituents:
- Partially refined calcium sulphate produced from flue-gas desulphurization (FGD)
 - Waste gypsum wallboard or plasterboard arising from the demolition of buildings
 - Slag from copper production, chemically stabilized, having a high iron content (above 20%) and processed according to industrial specifications (e.g., DIN 4301 and DIN 8201) mainly for construction and abrasive applications
 - Sulphur in solid form
 - Limestone from the production of calcium cyanamide (having a pH less than 9)
 - Sodium, potassium, calcium chlorides
 - Carborundum (silicon carbide)
 - Broken concrete
 - Lithium-tantalum and lithium-niobium containing glass scraps
- B2050 Coal-fired power plant fly-ash, not included on list A (note the related entry on list A A2060)
- B2060 Spent activated carbon resulting from the treatment of potable water and processes of the food industry and vitamin production (note the related entry on list A A4160)
- B2070 Calcium fluoride sludge
- B2080 Waste gypsum arising from chemical industry processes not included on list A (note the related entry on list A A2040)
- B2090 Waste anode butts from steel or aluminium production made of petroleum coke or bitumen and cleaned to normal industry specifications (excluding anode butts from chlor alkali electrolyses and from metallurgical industry)
- B2100 Waste hydrates of aluminium and waste alumina and residues from alumina production excluding such materials used for gas cleaning, flocculation or filtration processes
- B2110 Bauxite residue ("red mud") (pH moderated to less than 11.5)
- B2120 Waste acidic or basic solutions with a pH greater than 2 and less than 11.5, which are not corrosive or otherwise hazardous (note the related entry on list A A4090)
- B3 Wastes containing principally organic constituents, which may contain metals and inorganic materials
- B3010 Solid plastic waste:
- The following plastic or mixed plastic materials, provided they are not mixed with other wastes and are prepared to a specification:

Scrap plastic of non-halogenated polymers and co-polymers, including but not limited to the following 13/:

- ethylene
- styrene
- polypropylene
- polyethylene terephthalate
- acrylonitrile
- butadiene
- polyacetals
- polyamides
- polybutylene terephthalate
- polycarbonates
- polyethers
- polyphenylene sulphides
- acrylic polymers
- alkanes C10-C13 (plasticiser)
- polyurethane (not containing CFCs)
- polysiloxanes
- polymethyl methacrylate
- polyvinyl alcohol
- polyvinyl butyral
- polyvinyl acetate

Cured waste resins or condensation products including the following:

- urea formaldehyde resins
- phenol formaldehyde resins
- melamine formaldehyde resins
- epoxy resins
- alkyd resins
- polyamides

The following fluorinated polymer wastes 14/

- perfluoroethylene/propylene (FEP)
- perfluoroalkoxy alkane (PFA)
- perfluoroalkoxy alkane (MFA)
- polyvinylfluoride (PVF)
- polyvinylidene fluoride (PVDF)

B3020

Paper, paperboard and paper product wastes

The following materials, provided they are not mixed with hazardous wastes:

Waste and scrap of paper or paperboard of:

13/ It is understood that such scraps are completely polymerized.

14/

- Post-consumer wastes are excluded from this entry
- Wastes shall not be mixed
- Problems arising from open-burning practices to be considered

- unbleached paper or paperboard or of corrugated paper or paperboard
- other paper or paperboard, made mainly of bleached chemical pulp, not coloured in the mass
- paper or paperboard made mainly of mechanical pulp (for example, newspapers, journals and similar printed matter)
- other, including but not limited to 1) laminated paperboard 2) unsorted scrap.

B3030

Textile wastes

The following materials, provided they are not mixed with other wastes and are prepared to a specification:

- Silk waste (including cocoons unsuitable for reeling, yarn waste and garnetted stock)
 - not carded or combed
 - other
- Waste of wool or of fine or coarse animal hair, including yarn waste but excluding garnetted stock
 - noils of wool or of fine animal hair
 - other waste of wool or of fine animal hair
 - waste of coarse animal hair
- Cotton waste (including yarn waste and garnetted stock)
 - yarn waste (including thread waste)
 - garnetted stock
 - other
- Flax tow and waste
- Tow and waste (including yarn waste and garnetted stock) of true hemp (Cannabis sativa L.)
- Tow and waste (including yarn waste and garnetted stock) of jute and other textile bast fibres (excluding flax, true hemp and ramie)
- Tow and waste (including yarn waste and garnetted stock) of sisal and other textile fibres of the genus Agave
- Tow, noils and waste (including yarn waste and garnetted stock) of coconut
- Tow, noils and waste (including yarn waste and garnetted stock) of abaca (Manila hemp or Musa textilis Nee)
- Tow, noils and waste (including yarn waste and garnetted stock) of ramie and other vegetable textile fibres, not elsewhere specified or included
- Waste (including noils, yarn waste and garnetted stock) of man-made fibres
 - of synthetic fibres
 - of artificial fibres
- Worn clothing and other worn textile articles
- Used rags, scrap twine, cordage, rope and cables and worn out articles of twine, cordage, rope or cables of textile materials

- sorted
 - other
- B3040 Rubber wastes
- The following materials, provided they are not mixed with other wastes:
- Waste and scrap of hard rubber (e.g., ebonite)
 - Other rubber wastes (excluding such wastes specified elsewhere)
- B3050 Untreated cork and wood waste:
- Wood waste and scrap, whether or not agglomerated in logs, briquettes, pellets or similar forms
 - Cork waste: crushed, granulated or ground cork
- B3060 Wastes arising from agro-food industries provided it is not infectious:
- Wine lees
 - Dried and sterilized vegetable waste, residues and byproducts, whether or not in the form of pellets, of a kind used in animal feeding, not elsewhere specified or included
 - Degras: residues resulting from the treatment of fatty substances or animal or vegetable waxes
 - Waste of bones and horn-cores, unworked, defatted, simply prepared (but not cut to shape), treated with acid or degelatinised
 - Fish waste
 - Cocoa shells, husks, skins and other cocoa waste
 - Other wastes from the agro-food industry excluding by-products which meet national and international requirements and standards for human or animal consumption
- B3070 The following wastes:
- Waste of human hair
 - Waste straw
 - Deactivated fungus mycelium from penicillin production to be used as animal feed
- B3080 Waste parings and scrap of rubber
- B3090 Paring and other wastes of leather or of composition leather not suitable for the manufacture of leather articles, excluding leather sludges, not containing hexavalent chromium compounds and biocides (note the related entry on list A A3100)
- B3100 Leather dust, ash, sludges or flours not containing hexavalent chromium compounds or biocides (note the related entry on list A A3090)
- B3110 Fellmongery wastes not containing hexavalent chromium compounds or biocides or infectious substances (note the related entry on

list A A3110)

- B3120 Wastes consisting of food dyes
- B3130 Waste polymer ethers and waste non-hazardous monomer ethers incapable of forming peroxides
- B3140 Waste pneumatic tyres, excluding those destined for Annex IVA operations

B4 Wastes which may contain either inorganic or organic constituents

- B4010 Wastes consisting mainly of water-based/latex paints, inks and hardened varnishes not containing organic solvents, heavy metals or biocides to an extent to render them hazardous (note the related entry on list A A4070)
- B4020 Wastes from production, formulation and use of resins, latex, plasticizers, glues/adhesives, not listed on list A, free of solvents and other contaminants to an extent that they do not exhibit Annex III characteristics, e.g., water-based, or glues based on casein starch, dextrin, cellulose ethers, polyvinyl alcohols (note the related entry on list A A3050)
- B4030 Used single-use cameras, with batteries not included on list A

/...

VI/35. 審查或調整附件八和附件九中所列廢物名錄

締約方大會，

回顧關於廢物分類及其危險特性說明的第 V/24 號決定，

注意到技術工作組所通過的關於對《巴塞爾公約》附件八和附件九中所列廢物名錄進行審查或調整的程序，

進一步注意到由有關締約方提出的對附件八或附件九中所列廢物名錄進行修正的申請，

1. 通過本決定附件中所列、關於對《巴塞爾公約》附件八和附件九中所列廢物名錄進行審查或調整的程序；

2. 邀請那些正在提交有關對附件八或附件九中所列廢物名錄進行審查或調整的申請的那些締約方明確表明，這些申請是否為依照《公約》第 17 和第 18 條提出的對《巴塞爾公約》的附件進行修正的正式提議；

3. 通過對《巴塞爾公約》附件八和附件九的下列修正：

(a) 附件九的條目 B2060—以下列措辭取代原有的措辭：不含有任何足夠的附件一成分從而使之表現出附件三特性的使用過的活性碳，例如，產生於對飲用水進行處理、以及用於食品加工業和維生素生產工序的碳（注意名錄 A 中的相關條目 A4160）；

(b) 附件九的新條目 B1250—不含任何液體或其他危險成分的報廢機動車殘體；

(c) 附件九的條目 B1010 – 作為一個新的分項插入“廢銻碎渣”；

(d) 附件九的新條目 B3150 – 地板覆蓋物紡織品廢物及廢地毯；

(e) 附件九的新條目 B1031 – 具有金屬性質及非散佈形式(金屬粉末)的金屬和合金廢物：鉬、鎢、鈦、鈮、鎳和銻，但不包括已在條目 A1050 – 電鍍廢渣項下列出的廢物；

(f) 附件八的新條目 A3200 – 建築和保養道路所用、含有焦油的瀝青混合廢料(廢瀝青)(注意與此相關的條目 B – B2130)；

(g) 附件九的新條目 B2130 – 建築和保養道路所用、不含焦油^a的瀝青混合廢材料(廢瀝青)(注意與此相關的條目 A – A3200)；

(h) 附件九的新條目 B3065 – 廢食用油脂和動物或植物油(例如：食品煎炸油)，但條件是它們不具有附件三的某種特性；

(i) 附件九的條目 B3010 – 以下列措辭取代條目 B3010 的現有措辭：

- 全氟烷氧基鏈烷烴 (PFA)
- 全氟烷氧基烷烴 (MFA)

用下列新的措辭予以取代：

- 全氟烷氧基鏈烷烴

^a 苯並芘的含量不應達到或高於 50 毫克/公斤。

＞ 四氯乙烯/全氟乙烯醚（PFA）

＞ 四氯乙烯/全氟甲基乙烯醚（MFA）

4. 注意到印度就使用聚氯乙烯包裹的廢電纜線進行分類的申請將繼續保留在技術工作組的議程之中；

5. 請技術工作組審議與《巴塞爾公約》各項附件所列廢物名錄的正式譯名有關的議題，並酌情就此事項提供指導。

附 錄

關於審查或調整《控制危險廢物越境轉移及其處置巴塞爾公約》附件八和附件九中所列廢物名錄的程序

1. 申請

（a） 所有申請均須使用本文之後所附的表格向秘書處提交。任何締約方、觀察員國、非政府組織、私人公司或個人均有權在申請表格中填寫有關把廢物列入附件八或附件九的提議，或填寫把廢物從附件八、附件九或暫訂名錄 C 中刪除的提議。任何申請均應由或通過一締約方或觀察員國向秘書處提交。

（b） 提交申請的締約方必須明確表明所涉申請是否為依照《公約》第 17 和第 18 條對《巴塞爾公約》附件進行修正的正式提議。

（c） 要求有關主管部門和聯絡點向《巴塞爾公約》的所有聯絡點提供除申請表格之外的任何其他信息和資料，並向秘書處表明已提供此種信息和資料。如果一主管部門或聯絡點無法向《巴塞爾公約》

的所有聯絡點提供任何附件或附文，則它可要求秘書處代為從事此項工作。

2. 遞交申請表格的程序

(a) 申請者須把申請表連同任何輔助資料一併提交《巴塞爾公約》的國家主管部門。

(b) 主管部門和/或聯絡點應對申請表格及所附任何其他信息和資料進行審查；並只有在主管部門和/或聯絡點確認表格填寫得當、且認定填寫完畢的申請表格中的確提供了可供技術工作組做出決定的充足資料的情況下，才可將所涉申請表格轉交《巴塞爾公約》秘書處。

(c) 技術工作組將在其下一次會議上審議有關申請，但條件是秘書處在以下第 3 (a) 段中所規定的時限內收到申請。

3. 申請的時限

(a) 有關提議列入或刪除廢物的申請表格必須至少在技術工作組舉行會議之前提前三個月提交《巴塞爾公約》秘書處。與申請表格有關的任何其他資料亦應在這一時限內提交。

(b) 作為例外情況，如果締約方無法在三個月期限內向秘書處提交有關增列或刪除廢物的申請表，則可在技術工作組舉行會議之前提前兩個月提交此種申請表。技術工作組將爭取在其下次會議上對所涉申請進行審議。

4. 不限成員名額工作組着手審議之前的程序

(a) 秘書處於收到申請之後 30 天內把有關申請登載在《巴塞爾公約》的因特網網站上 (www.basel.int)。

(b) 秘書處向所有聯絡點發出電子郵件，宣佈有關申請已予公佈。那些無法使用因特網或電子郵件設施的締約方可通過郵寄或傳真形式獲得有關申請的副本。

(c) 秘書處邀請各締約方在 20 天之內直接向申請人提出對有關申請的評論意見 (採用郵寄、傳真和電子郵件等聯絡方式)。

(d) 申請人視需要在 20 天之內就其申請編寫列有對所提出的詢問的答覆的增編。

(e) 秘書處在技術工作組會議舉行之前至少提前 20 天向各締約方提供這一增編。

(f) 在以上第 3 (b) 段所述特殊情形中，可將以上第 (a) 和 (d) 分段所規定的時限減至 10 天。

5. 由不限成員名額工作組採取的行動

(a) 不限成員名額工作組將審議有關在附件八或附件九中增列或刪除廢物的申請。申請必須依照《巴塞爾公約》第 1 條第 1 (a) 款以良好的科學評估為基礎。

(b) 不限成員名額工作組應以協商一致方式做出決定。

(c) 不限成員名額工作組針對附件八或附件九名錄所列廢物的增列或刪除做出的決定應列入不限成員名額工作組的一份報告、並

通過秘書處把該報告提交締約方大會的下屆會議。如果沒有任何締約方就修正《巴塞爾公約》的附件提出任何正式提議，則不限成員名額工作組在提交一項申請時應邀請任何其他締約方依照《公約》第 17 和第 18 條向締約方大會提交這樣一份正式提議。

6. 有效審查程序

應儘最大限度減少進行此種審查的費用。把概要介紹的篇幅限制在 8 個附頁的做法可有助於節省經費，但若有締約方希望提供更多的資料，則可按自費方式提供額外的資料。

7. 彙報

《巴塞爾公約》秘書處應在所涉修改生效之後向各締約方提供《巴塞爾公約》附件八和附件九的廢物名錄現況報告。

關於向附件八或附件九中增列或刪除廢物的申請表

A. 廢物的鑑別

提議列入的新內容（或在現有類別中增列廢物的內容）

1. 廢物名稱： _____
2. 廢物生成地點： _____
3. 外形： _____
4. 主要成分： _____
5. 典型污染物： _____

6. 廢物編號：
- | | | |
|--|--------|---------------|
| | 聯合國分類 | 聯合國分類編號 |
| | 國際廢物識別 | 經合組織分類編號 |
| | 編號 | 其他編號（例如，統一分類制 |
| | 歐洲廢物編號 | 度編碼，國際回收局、廢品回 |
| | | 收工業研究所、國際貴金屬研 |
| | | 究所等。） |

7. 填入所有相關的 Y 編號

8. 危險特性

- | | | | |
|--------|--------|--------|-------|
| • H1 | • H4.3 | • H6.2 | • H12 |
| • H3 | • H5.1 | • H8 | • H13 |
| • H4.1 | • H5.2 | • H10 | |
| • H4.2 | • H6.1 | • H11 | |

B. 提議的增列

附件八名錄 A •

附件九名錄 B •

提議的刪除

從附件八名錄 A 中刪除 •

從附件九名錄 B 中刪除 •

C. 國家定義

提交申請的國家是否在法律上把此種廢物界定為或視為具有危險性？

是 · 否 ·

D. 商業分類

所涉及廢物是否通過固定渠道定期進行交易？可否通過商業分類予以證明？

是 · 否 ·

提議增列的理由概述

注意：應附上一份詳盡的說明（不超過 8 個附加頁），論述所涉廢物屬於《巴塞爾公約》附件一中的哪一類別或哪幾類別，同時提供證據表明該廢物具有或不具有《公約》附件三所列的任何危險特性（見指導要點－待擬訂）。可採用附件或附文形式提交補充資料。所有此種附件或附文均須在申請表中標明，並具體說明可如何得到這些文件。

E. 申請人姓名

姓名： _____

地址： _____

電話： _____

傳真： _____

電子郵件： _____

締約方 ·

觀察員國 ·

非政府組織 ·

公司 ·

個人 ·

(簽字)

(蓋章)

F. 負責轉遞申請的部門

姓名： _____

地址： _____

(簽字)

電話： _____

傳真： _____

電子郵件： _____

(蓋章)

轉遞日期： _____

至多只可在本申請表後添加 8 個附頁。

VI/35. Review or adjustment of lists of wastes contained in Annexes VIII and IX to the Basel Convention

The Conference of the Parties,

Referring to its decision V/24 on the classification and hazard characterization of wastes,

Taking note of the procedure for the review or adjustment of lists of wastes contained in Annexes VIII and IX of the Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and their Disposal, adopted by the Technical Working Group,

Taking note also of the applications submitted by Parties to amend the lists of wastes contained in Annexes VIII and IX,

1. Adopts the procedure for the review or adjustment of the lists of wastes contained in Annexes VIII and IX of the Basel Convention, as contained in the appendix to the present decision;

2. Invites Parties that are submitting applications for the review or adjustment of lists of wastes in Annexes VIII and IX, to state whether the applications are a formal proposal for amendment of the Annexes to the Basel Convention in accordance with articles 17 and 18 of the Convention;

3. Adopts the following amendments to Annexes VIII and IX of the Basel Convention:

(a) Entry B2060 in Annex IX: Replace the existing wording by: “Spent activated carbon not containing any Annex I constituents to an extent they exhibit Annex III characteristics, for example, carbon resulting from the treatment of potable water and processes of the food industry and vitamin production (note the related entry on list A, A4160”;

(b) New entry B1250 in Annex IX: “Waste end-of-life motor vehicles, containing neither liquids nor other hazardous components”;

(c) Entry B1010 in Annex IX: Insert “chromium scrap” as a new bullet point;

(d) New entry B3035 in Annex IX: “Waste textile floor coverings, carpets”;

(e) New entry B1031 in Annex IX: “Molybdenum, tungsten, titanium, tantalum, niobium and rhenium metal and metal alloy wastes in metallic dispersible form (metal powder), excluding such wastes as specified in list A under entry A1050, Galvanic sludges”;

- (f) New entry A3200 in Annex VIII: “Bituminous material (asphalt waste) from road construction and maintenance, containing tar (note the related entry on list B, B2130)”;
- (g) New entry B2130 in Annex IX: “Bituminous material (asphalt waste) from road construction and maintenance, not containing tar^a(note the related entry on list A, A3200);
- (h) New entry B3065 in Annex IX: “Waste edible fats and oils of animal or vegetable origin (e.g, frying oils), provided they do not exhibit an Annex III characteristic”;
- (i) Entry B3010 in Annex IX: Replace the existing wording in entry B3010:
- “• Perfluoroalkoxy alkane (PFA)
 - “• Perfluoroalkoxy alkane (MFA)”
- with the following new wording:
- “• Perfluoro alkoxyl alkane
 - Tetrafluoroethylene/per fluoro vinyl ether (PFA)
 - Tetrafluoroethylene/per fluoro methylvinyl ether (MFA)”
4. Notes that the applications submitted by India concerning the classification of PVC-coated cable scrap will be placed on the agenda of the Open-ended Working Group;
5. Requests the Open-ended Working Group to consider issues related to the official translation of the lists of wastes contained in the Annexes to the Basel Convention and to provide guidance as appropriate.

^a The concentration level of Benzol[a]pyrene should not be 50 mg/kg or more.

Appendix

PROCEDURE FOR THE REVIEW OR ADJUSTMENT OF LISTS OF WASTES CONTAINED IN ANNEXES VIII AND IX OF THE BASEL CONVENTION ON THE CONTROL OF TRANSBOUNDARY MOVEMENTS OF HAZARDOUS WASTES AND THEIR DISPOSAL

1. Applications.

- (a) Applications must be submitted to the secretariat using the form below. Any Party, observer State, non-governmental organization, private company or individual has the right to fill out the application form with the proposed placement of wastes under Annex VIII or Annex IX, or with a proposal for removing wastes from Annex VIII, Annex IX or working list C. Any application submitted to the secretariat shall be by or through a Party or observer State.
- (b) A Party submitting an application shall clearly state whether or not the application is a formal proposal for amendment to the annexes to the Basel Convention in accordance with articles 17 and 18 of the Convention.
- (c) Competent authorities and focal points are requested to make available any information in addition to the form to all focal points of the Basel Convention and to advise the secretariat that this has been done. If a competent authority or focal point is unable to make available any annexes or attachments to all focal points of the Basel Convention, it may request the secretariat to undertake that function.

2. Procedure for transmission of the form.

- (a) The applicant must present the application form with any additional information to a national authority for the Basel Convention.
- (b) The competent authority and/or focal point should consider the application form with any additional information and only forward it to the secretariat of the Basel Convention if it is properly completed and if the completed application provides sufficient information for the Open-ended Working Group to reach a decision.
- (c) The Open-ended Working Group will consider the application at its following meeting, provided it is received by the secretariat within the time frame stipulated in paragraph 3 (a) below.

3. Time frame for application.

- (a) The application form for placement or removal of wastes has to be submitted to the secretariat of the Basel Convention at least three months prior to the meeting of the Open-ended Working Group. Any information in addition to the form should also be submitted within that time frame.
- (b) In exceptional circumstances, a Party may submit the application form for placement or removal of wastes two months before the meeting of the Open-

ended Working Group, if such proposal(s) cannot be sent to the secretariat within the three-month deadline. The Open-ended Working Group will endeavour to consider such application(s) at its following meeting.

4. Procedure before consideration in the Open-ended Working Group.

- (a) After receiving an application, the secretariat will place the application on the Internet web site of the Basel Convention within 30 days (www.basel.int).
- (b) The secretariat will send an e-mail to all focal points announcing that the application is available. Those Parties which have no access to Internet or e-mail facilities will be sent the application by mail or facsimile.
- (c) The secretariat will invite the Parties to comment on the application within 20 days directly to the applicant (by mail, facsimile or e-mail).
- (d) The applicant will make, as necessary, an addendum to the application containing answers to the questions within 20 days.
- (e) The secretariat will make the addendum available to the Parties at least 20 days before the meeting.
- (f) In the exceptional cases mentioned in paragraph 3 (b) above, the time frames stipulated in paragraphs 4 (a) and (d) above are reduced to 10 days.

5. Action by the Open-ended Working Group.

- (a) The Open-ended Working Group will consider and review the applications for placement or removal of wastes in Annexes VIII and IX. The applications must be based on sound scientific assessment in accordance with article 1, paragraph 1 (a), of the Basel Convention.
- (b) The Open-ended Working Group should arrive at a decision by consensus.
- (c) The decisions of the Open-ended Working Group on the placement or removal of wastes on lists contained in Annexes VIII and IX shall be transmitted in a report of the Open-ended Working Group through the secretariat to the following meeting of the Conference of the Parties. In cases where no formal proposal for amendment to the Annexes to the Basel Convention has been made by a Party when submitting an application, the Open-ended Working Group should invite other Parties to submit such a formal proposal to the Conference of the Parties in accordance with articles 17 and 18 of the Convention.

6. Effective review procedure.

Costs of review should be kept to a minimum. Restricting the summary of cases to eight additional pages would help save costs, although a Party wishing to provide more information could do so at its own cost.

7. Reporting.

The secretariat of the Basel Convention should provide a report to Parties on the status of lists of wastes in Annexes VIII and IX of the Basel Convention on a regular basis, and when changes have entered into force.

**APPLICATION FORM FOR THE PLACEMENT OR REMOVAL
OF WASTES ON ANNEXES VIII AND IX**

A. WASTE IDENTIFICATION

Proposed wording for the placement (or replacement wording for an existing category)

1. Name of the waste: _____
2. Origin of the waste: _____
3. Physical form: _____
4. Major constituents: _____
5. Typical contaminants: _____

6. Waste Code: UN Class _____ UN number _____
 IWIC _____ OECD _____
 EWC _____ Others (e.g. Harmonized
 System Code, BIR, ISRI,
 IPMI, etc.)

7. Enter all relevant Y numbers

8. Hazardous characteristics

<input type="checkbox"/> H1	<input type="checkbox"/> H4.3	<input type="checkbox"/> H6.2	<input type="checkbox"/> H12
<input type="checkbox"/> H3	<input type="checkbox"/> H5.1	<input type="checkbox"/> H8	<input type="checkbox"/> H13
<input type="checkbox"/> H4.1	<input type="checkbox"/> H5.2	<input type="checkbox"/> H10	
<input type="checkbox"/> H4.2	<input type="checkbox"/> H6.1	<input type="checkbox"/> H11	

B. PROPOSED PLACEMENT

Lis: A of Annex VIII
 Lis: B of Annex IX

PROPOSED REMOVAL

From list A of Annex VIII
 From list B of Annex IX

C. NATIONAL DEFINITION

Is the waste legally defined as or considered to be hazardous in the country submitting the application?

Yes No

D. COMMERCIAL CLASSIFICATION

Is the waste routinely traded through established channels and is that evidenced by commercial classifications?

Yes No

SUMMARY OF REASONS FOR PROPOSED PLACEMENT

NB: A detailed case study (no more than eight additional pages) should be attached, taking into consideration the category(ies) in Annex I to the Basel Convention under which the waste falls and with evidence demonstrating that the waste does or does not exhibit any of the hazardous characteristics in Annex III to the Convention (guidance document to be drafted). Additional material may be submitted in the form of annexes or attachments. All such annexes or attachments must be listed in the application form, together with instructions on how to obtain the documents.

E. NAME OF APPLICANT

Name: _____
 Address: _____
 Tel: _____
 Fax: _____
 E-mail: _____

Party
 Observer State
 NGO
 Company
 Individual

 (Signature)

 (Stamp)

F. AUTHORITY TRANSMITTING APPLICATION

Name: _____
 Address: _____
 Tel: _____
 Fax: _____
 E-mail: _____

 (Signature)

 (Stamp)

Date of transmission: _____

This application form may include up to 8 additional pages.

VII/19. 審查或調整《巴塞爾公約》附件八和附件九 所列廢物清單

締約方大會，

審議了 印度就將塑料包裹的廢電纜的新的條目列入附件八和附件九提出的申請，

認識到 根據《關於持久性有機污染物的斯德哥爾摩公約》就焚毀某些種類的塑料包裹的廢電纜提出的健康問題和形成無意產生的持久性有機污染物的可能性，

注意到 在附件三危險特性，特別是 H10、H11 和 H13 方面所作的工作正在取得進展，是審議聚氯乙烯等廢物分類方面的一個步驟，

1. 通過 對《巴塞爾公約》附件八和附件九的以下修正：

(a) 附件八中的新條目 A1190：

含有煤焦油、多氯聯苯¹

鉛、鎘、其他有機鹵化合物或附件一所列其他物質或受到此種物質污染的塑料包裹或絕緣的廢金屬電纜，條件是這些電纜顯示出附件三所列特性；

(b) 附件九中的新條目 B1115：

未列入清單 A1190 的塑料包裹或絕緣的廢金屬電纜，

¹ 多氯聯苯的濃度為 50 毫克/公斤或以上。

但不包括用於附件四所列 A 類活動或在任何階段涉及
到露天焚燒等不加控制的熱處理過程的任何其他
處置活動的那些電纜。

VII/19. Review or adjustment of the lists of wastes contained in Annexes VIII and IX to the Basel Convention

The Conference of the Parties,

Considering the application submitted by India concerning the placement of new entries regarding plastic-coated cable scrap on Annex VIII and Annex IX,

Aware of the health concerns that are also dealt with under the Stockholm Convention on persistent organic pollutants regarding the burning of some types of plastic coated cables and the potential for the formation of unintentionally produced persistent organic pollutants (POPs),

Noting that work on Annex III hazard characteristics, in particular H10, H11 and H13, is progressing and represents a step in considering the classification of, for example, PVC wastes,

1. *Adopts* the following amendments to Annexes VIII and IX of the Basel Convention:

(a) *New entry A 1190 in Annex VIII:*

Waste metal cables coated or insulated with plastics containing or contaminated with coal tar, PCB¹, lead, cadmium, other organohalogen compounds or other Annex I constituents to an extent that they exhibit Annex III characteristics;

(b) *New entry B 1115 in Annex IX:*

Waste metal cables coated or insulated with plastics, not included in list A A1190, excluding those destined for Annex IVA operations or any other disposal operations involving, at any stage, uncontrolled thermal processes, such as open-burning.

¹ PCBs are at a concentration level of 50 mg/kg or more.

BC-11/6：對《巴塞爾公約》各項附件的修正

締約方大會，

審議了芬蘭、愛爾蘭和荷蘭提出的向《巴塞爾公約》附件九增列新條目的申請，

1. 通過對《巴塞爾公約》附件九的如下修正內容：

(a) 新條目 B3026

對複合液體包裝物進行預處理所產生的以下廢物，不包含濃度足以表現出附件三特性的附件一材料：

- 不可分離的塑料碎片
- 不可分離的鋁塑碎片

(b) 新條目 B3027

含有標籤材料生產過程當中使用的原材料的層壓不乾膠標籤廢物

2. 決定將以下條目 B3025 草案的進一步相關工作納入不限成員名額工作組 2014–2015 年工作方案：主要由紙和一些塑料（以及鋁）構成的複合包裝物廢物，不包含殘留物質，也不包含濃度足以表現出附件三特性的附件一材料。¹

3. 邀請締約方及其他各方於 2013 年 10 月 30 日前就上文第 2

¹ 注意到可能存在着控制含有家居廢物的廢物進口的國家法律。

段所述條目草案向秘書處提交評論意見，供不限成員名額工作組第九次會議審議；

4. 請秘書處將上文第 1 段所述修正內容通報保存人，並更新和重新印發修正後的《公約》文本。

BC-11/6: Amendments to Annex IX to the Basel Convention

The Conference of the Parties,

Considering the applications made by Finland, Ireland and the Netherlands for new entries to Annex IX to the Basel Convention,

1. *Adopts* the following amendments to Annex IX of the Basel Convention:

(a) New entry B3026:

“The following waste from the pre-treatment of composite packaging for liquids, not containing Annex I materials in concentrations sufficient to exhibit Annex III characteristics:

- Non-separable plastic fraction
- Non-separable plastic-aluminium fraction”;

(b) New entry B3027:

“Self-adhesive label laminate waste containing raw materials used in label material production”;

2. *Decides* to include further work on the following draft entry B3025 in the work programme of the Open-ended Working Group for 2014–2015:

“Composite packaging waste consisting of mainly paper and some plastic [and aluminium], not containing residues and not containing Annex I materials in concentrations sufficient to exhibit Annex III characteristics”;¹

3. *Invites* Parties and others to submit comments to the Secretariat by 30 October 2013 on the draft entry referred to in paragraph 2 above for consideration by the Open-ended Working Group at its ninth meeting;

4. *Requests* the Secretariat to communicate the amendments referred to in paragraph 1 above to the Depository and to update and reproduce the amended version of the Convention texts.

¹ It is noted that there may be national laws controlling the import of waste containing household waste.