

## Entrada em vigor, revisão e denúncia

1. O presente Acordo entra em vigor 30 dias após a data de recepção da última troca de notas a comunicar por escrito o cumprimento das respectivas formalidades legais para a entrada em vigor do Acordo.

2. O presente Acordo pode ser objecto de revisão mediante o acordo escrito mútuo das Partes. Estas alterações entram em vigor em conformidade com o disposto no n.º 1 deste artigo.

3. As disposições do presente Acordo aplicam-se a quaisquer pedidos apresentados após a sua entrada em vigor, independentemente da data em que tenha ocorrido a sentença condenatória.

4. Qualquer Parte pode, em qualquer momento, denunciar o presente Acordo mediante notificação por escrito dirigida à outra Parte. Nesse caso, o presente Acordo cessa a produção de efeitos 180 dias após a data de recepção da referida notificação.

5. O presente Acordo continua a aplicar-se na execução das sentenças condenatórias de pessoas transferidas antes de a denúncia produzir efeitos nos termos do n.º 4 do presente artigo.

EM FÉ do que os abaixo assinados, devidamente autorizados para o efeito pelos respectivos Governos, assinaram o presente Acordo.

Feito na Região Administrativa Especial de Macau, em duplicado, aos 15 de Dezembro de 2017, nas línguas chinesa, mongol e inglesa, sendo os textos igualmente autênticos. Em caso de divergência de interpretação, prevalece o texto em língua inglesa.

**PELA REGIÃO ADMINISTRATIVA ESPECIAL  
DE MACAU DA REPÚBLICA POPULAR DA CHINA**

**PELA MONGÓLIA**

### 第 5/2018 號行政長官公告

### Aviso do Chefe do Executivo n.º 5/2018

按照中央人民政府的命令，行政長官根據第3/1999號法律《法規的公佈與格式》第六條第一款的規定，命令公佈聯合國安全理事會於二零一七年十二月二十二日通過的關於不擴散/朝鮮民主主義人民共和國的第2397（2017）號決議的中文及英文正式文本。

O Chefe do Executivo manda publicar, nos termos do n.º 1 do artigo 6.º da Lei n.º 3/1999 (Publicação e formulário dos diplomas), por ordem do Governo Popular Central, a Resolução n.º 2397 (2017), adoptada pelo Conselho de Segurança das Nações Unidas em 22 de Dezembro de 2017, relativa à Não Proliferação/República Popular Democrática da Coreia, nos seus textos autênticos em línguas chinesa e inglesa.

二零一八年一月二十四日發佈。

Promulgado em 24 de Janeiro de 2018.

行政長官 崔世安

O Chefe do Executivo, *Chui Sai On*.

## 第 2397 (2017) 號決議

### 安全理事會 2017 年 12 月 22 日第 8151 次會議通過

安全理事會，

回顧其以往相關決議，包括第 825 (1993)、1695 (2006)、1718 (2006)、1874 (2009)、1887 (2009)、2087 (2013)、2094 (2013)、2270 (2016)、2321 (2016)、2356 (2017)、2371 (2017)、2375 (2017) 號決議以及 2006 年 10 月 6 日 (S/PRST/2006/41)、2009 年 4 月 13 日 (S/PRST/2009/7)、2012 年 4 月 16 日 (S/PRST/2012/13) 和 2017 年 8 月 29 日 (S/PRST/2017/16) 的主席聲明，

重申核武器、化學武器和生化武器及其運載工具的擴散對國際和平與安全構成威脅，

表示最嚴重地關切朝鮮民主主義人民共和國 (朝鮮) 2017 年 11 月 28 日違反第 1718 (2006)、1874 (2009)、2087 (2013)、2094 (2013)、2270 (2016)、2321 (2016)、2356 (2017)、2371 (2017) 和 2375 (2017) 號決議發射彈道導彈，這一試驗對《不擴散核武器條約》(《不擴散條約》) 和旨在加強全球不擴散核武器機制的國際努力構成挑戰，給該區域內外的和平與穩定帶來危險，

再次着重指出朝鮮回應國際社會其他安全和人道主義關切的重要性，包括朝鮮有必要尊重和保障朝鮮人民的福祉、固有尊嚴和權利，表示極為關切朝鮮繼續將亟需資源挪用於發展核武器和彈道導彈，而朝鮮人民付出巨大代價，他們的大量需求卻未得到滿足，

知悉朝鮮有關產業的貨物，包括（但不限於）煤炭、鐵、鐵礦石、鉛、鉛礦石、紡織品、海產品、黃金、白銀、稀土礦物和其他違禁金屬的貿易收益，以及朝鮮海外工人的收入等為朝鮮核武器和彈道導彈計劃供資，

表示最嚴重地關切朝鮮正在進行的核相關和彈道導彈相關活動破壞了該區域內外的穩定，認定國際和平與安全繼續受到明顯威脅，

根據《聯合國憲章》第七章採取行動，並根據《憲章》第四十一條採取措施，

1. 最強烈地譴責朝鮮 2017 年 11 月 28 日違反並公然無視安全理事會決議發射彈道導彈；

2. 重申安理會決定，朝鮮不得再使用彈道導彈技術進行發射活動、不得進行核子試驗，也不得進行其他任何挑釁；朝鮮應立即停止所有彈道導彈計劃相關活動，並為此重新做出關於暫停所有導彈發射的原有承諾；朝鮮應立即以完全、可核查和不可逆轉的方式放棄所有核武器和現有核計劃，立即停止所有相關活動，還應以完全、可核查和不可逆轉的方式放棄任何其他現有大規模毀滅性武器和彈道導彈計劃；

### 指認

3. 決定第 1718（2006）號決議第 8（d）段規定的措施也適用於本決議附件一和附件二開列的個人和實體、代為行事或按其指示行事的個人或實體、以及由他們擁有或控制，包括以非法方式擁有或控制的實體，還決定第 1718（2006）號決議第 8（e）段規定的措施也適用於本決議附件一開列的個人以及代為行事或按其指示行事的個人；

## 產業

4. 決定所有會員國應禁止經由本國領土或由本國國民，或使用懸掛本國國旗的船隻、飛機、管道、鐵路線或車輛，直接或間接向朝鮮供應、銷售或轉讓所有原油，而不論原油是否源於本國領土，除非委員會逐案事先批准僅為朝鮮國民生之目的且與第 1718(2006)、1874(2009)、2087(2013)、2094(2013)、2270(2016)、2321(2016)、2356(2017)、2371(2017)、2375(2017) 號決議或本決議所禁止的朝鮮核計劃或彈道導彈計劃或其他活動無關的原油貨運，還決定此禁令不適用於自本決議通過之日起 12 個月期間以及此後以 12 個月為一期續延的各期間每期總量不超過 400 萬桶或 525000 噸的原油，並決定所有提供原油的會員國應自本決議通過之日起每 90 天向委員會提交一份關於向朝鮮提供的原油數量的報告；

5. 決定所有會員國應禁止經由本國領土或由本國國民，或使用懸掛本國國旗的船隻、飛機、管道、鐵路線或車輛，直接或間接向朝鮮供應、銷售或轉讓所有精煉石油產品，而不論它們是否源於本國領土，決定朝鮮不得採購這些產品，還決定，這一規定不適用於自 2018 年 1 月 1 日起 12 個月期間以及此後以 12 個月為一期續延的各期間朝鮮採購或經由會員國領土或由會員國國民，或使用懸掛本國國旗的船隻、飛機、管道、鐵路線或車輛，直接或間接向朝鮮供應、銷售或轉讓總量至多 500000 桶精煉石油產品，包括柴油和煤油，但條件是 (a) 會員國應每 30 天向委員會通報向朝鮮供應、銷售或轉讓精煉石油產品的數量及所有交易方信息，(b) 精煉石油產品的供應、銷售或轉讓不涉及與第 1718(2006)、1874(2009)、2087(2013)、2094(2013)、2270(2016)、2321(2016)、2356(2017)、2371(2017)、2375(2017) 號決議或本決議禁止的朝鮮核計劃或彈道導彈計劃或其他活動有關

聯的個人或實體，包括被指認的個人或實體、或代為行事或按其指示行事的個人或實體、或由其直接或間接擁有或控制的實體、或協助逃避制裁的個人或實體，(c) 精煉石油產品的供應、銷售或轉讓完全為朝鮮國民生之目的，而且與創收支持與第 1718 (2006)、1874 (2009)、2087 (2013)、2094 (2013)、2270 (2016)、第 2321 (2016)、2356 (2017)、2371 (2017)、2375 (2017) 號決議或本決議所禁止的朝鮮核計劃或彈道導彈計劃或其他活動無關，指示委員會秘書自 2018 年 1 月 1 日起，在向朝鮮銷售、供應或轉讓的精煉石油產品總量達到年度總量的 75% 時通知所有會員國，又指示委員會秘書自 2018 年 1 月 1 日起，在向朝鮮銷售、供應或轉讓的精煉石油產品總量達到年度總量的 90% 時通知所有會員國，還指示委員會秘書自 2018 年 1 月 1 日起，在向朝鮮銷售、供應或轉讓的精煉石油產品總量達到年度總量的 95% 時通知所有會員國，並告知它們必須在年度餘期立即停止向朝鮮銷售、供應或轉讓精煉石油產品，指示委員會在其網站上公佈每月和每個來源國向朝鮮銷售、供應或轉讓精煉石油產品的總計數量，指示委員會在收到會員國通知後實時更新這些信息，促請所有會員國定期查看該網站，以便自 2018 年 1 月 1 日起遵守本規定所設精煉石油產品的年度限額，指示專家小組密切監測所有會員國的執行工作，以便提供協助並確保充分及全球範圍的遵守，請秘書長為此作出必要安排，並在這方面提供更多資源；

6. 決定朝鮮不得從其領土、或由其國民、或使用懸掛其國旗的船隻或飛機直接或間接供應、銷售或轉讓糧食和農產品 (協調制度編碼 12、08、07)、機械 (協調制度編碼 84)、電氣設備 (協調制度編碼 85)、包括菱鎂礦和氧化鎂在內的泥土和石料 (協調制度編碼 25)、木材 (協調制度編碼 44) 和船隻 (協調制度編碼 89)，並決定所有國

家應禁止由本國國民或使用懸掛其國旗的船隻或飛機從朝鮮購買上述商品和產品，不論它們是否源於朝鮮領土，澄清第 2371（2017）號決議第 9 段中規定的海產品全行業禁令禁止朝鮮直接或間接地銷售或轉讓捕魚權，還決定，對於涉及書面合同在本決議通過之前即已最後敲定的、本段所禁止朝鮮轉讓、供應或銷售的來自朝鮮的所有商品和產品的銷售和交易，所有國家僅可允許這些貨物自本決議通過之日起最多 30 天內進口入境，但應不遲於本決議通過之日後 45 天向委員會發出通知，其中載明這些貨物進口的詳細情況；

7. 決定所有會員國應禁止經由本國領土或由本國國民，或使用懸掛本國國旗的船隻、飛機、管道、鐵路線或車輛，直接或間接向朝鮮供應、銷售或轉讓所有工業機械（協調制度編碼 84 和 85）、運輸車輛（協調制度編碼 86 至 89）、鐵、鋼和其他金屬（協調制度編碼 72 至 83），而不論它們是否源於本國領土，還決定本規定不適用於提供保持朝鮮商業民用客機（目前包括下列飛機型號和類型：An-24R/RV、An-148-100B、Il-18D、Il-62M、Tu-134B-3、Tu-154B、Tu-204-100B 和 Tu-204-300）安全運行所需的備件；

8. 表示關切儘管已通過第 2375（2017）號決議第 17 段，但仍有朝鮮國民為創造對外出口收入的目的而在其他國家工作，朝鮮則將這些收入用於支持違禁核計劃和彈道導彈計劃，決定會員國應立即，但不遲於自本決議通過之日起 24 個月時遣返所有在該會員國管轄範圍賺取收入的朝鮮國民和所有監視朝鮮海外工人的朝鮮政府安全監督專員，除非會員國認定一朝鮮國民是該會員國國民或是禁止遣返的朝鮮國民，但須符合適用的國內法和國際法，包括國際難民法和國際人權法、聯合國總部協定和《聯合國特權和豁免公約》，還決定所有會

員國應至遲於自本決議通過之日起 15 個月時提交一份中期報告，說明自本決議通過之日起 12 個月內所有被遣返回國的在該會員國管轄範圍賺取收入的朝鮮國民情況，包括若在這 12 個月期間結束前被遣返回國的這類朝鮮國民不到一半則說明原因，並決定所有會員國應至遲於自本決議通過之日起 27 個月時提交最後報告；

### 海上攔截貨船

9. 嚴重關切地注意到朝鮮通過欺騙性海事做法非法出口煤炭和其他違禁物項並以船到船轉運的方式非法獲得石油，決定若會員國有合理理由認為任何船隻參與第 1718 (2006)、1874 (2009)、2087 (2013)、2094 (2013)、2270 (2016)、2321 (2016)、2356 (2017)、2371 (2017)、2375 (2017) 號決議或本決議禁止的活動或物項運輸，則會員國應扣押、檢查、凍結（查封）在其港口的該船隻，並可扣押、檢查、凍結（查封）在其領水受其管轄的該船隻，鼓勵會員國在船隻被扣押、檢查、凍結（查封）後立即與有關船隻的船旗國進行諮商，還決定自這些船隻被凍結（查封）之日起六個月後，若委員會應船旗國請求逐案認定已作出充分安排防止該船隻今後參與違反這些決議的行為，則這一規定將不適用；

10. 決定，若會員國有情報懷疑朝鮮試圖直接或間接供應、銷售、轉讓或採購非法貨物，則該會員國可要求其他相關會員國提供更多海事和航運信息，包括確定該物項、商品或產品是否源自朝鮮，還決定所有接到此類詢問的會員國均應儘快以適當方式回應這種請求，決定委員會應在其專家小組支持下通過一加快程序促成及時協調此類信息請求，並請秘書長為此作出必要的安排並在這方面向委員會和專家小組提供更多資源；

11. 重申第 2321 (2016) 號決議第 22 段，並決定每一會員國均應禁止本國國民、受其管轄的人和在其境內組建或接受本國管轄的實體為會員國有合理理由認為參與了第 1718 (2006)、1874 (2009)、2087 (2013)、2094 (2013)、2270 (2016)、2321 (2016)、2356 (2017)、2371 (2017)、2375 (2017) 號決議或本決議禁止的活動或物項運輸的船隻提供保險或再保險服務，除非委員會逐案認定有關船隻從事的活動完全是為了民生目的且不會被朝鮮的個人或實體用來創收，或是完全用於人道主義目的；

12. 重申第 2321 (2016) 號決議第 24 段，並決定每一會員國均應取消會員國有合理理由認為參與了第 1718 (2006)、1874 (2009)、2087 (2013)、2094 (2013)、2270 (2016)、2321 (2016)、2356 (2017)、2371 (2017)、2375 (2017) 號決議或本決議禁止的活動或物項運輸的船隻的登記，並禁止本國國民、受其管轄的人和在其境內組建或接受本國管轄的實體此後向此類船隻提供船級服務，除非委員會逐案事先批准，還決定會員國不應讓已由另一會員國根據本段取消登記的船隻進行登記，除非委員會逐案事先批准；

13. 表示關切的是，懸掛朝鮮國旗、由朝鮮控制、租賃或經營的船隻故意無視運行自動識別系統的要求，通過關閉此類系統來掩蓋其全部移行情況，以逃避依據安理會決議制裁措施進行的監測，並促請會員國對開展第 1718 (2006)、1874 (2009)、2087 (2013)、2094 (2013)、2270 (2016)、2321 (2016)、2356 (2017)、2371 (2017)、2375 (2017) 號決議或本決議所禁活動的此類船隻提高警惕；

14. 回顧第 2321 (2016) 號決議第 30 段，決定所有會員國均應防止經由本國領土或由本國國民，或使用懸掛本國國旗的船隻或飛機，



直接或間接向朝鮮供應、銷售或轉讓新的或舊的船隻，不論它們是否源於本國領土，除非委員會逐案事先批准；

15. 決定，若會員國了解關於在其境內或公海上遇到的被安全理事會或委員會指認應受第 1718 (2006) 號決議第 8 (d) 段規定的資產凍結措施、第 2321 (2016) 號決議第 12 段規定的各種措施、第 2371 (2017) 號決議第 6 段規定的入港禁令或本決議中有關措施制裁的船隻的船名、編號和登記信息，則會員國應向委員會通報這方面的信息，並通報其採取了何種措施來執行第 1718 (2006)、1874 (2009)、2087 (2013)、2094 (2013)、2270 (2016)、2321 (2016)、2356 (2017)、2371 (2017)、2375 (2017) 號決議或本決議相關規定授權的檢查、資產凍結和查封行動或其他適當行動；

16. 決定本決議中的規定不應適用於第 2371 (2017) 號決議第 8 段和第 2375 (2017) 號決議第 18 段所允許的、僅為出口俄羅斯產煤炭到其他國家而通過俄羅斯和朝鮮的羅津-哈桑港口和鐵路項目進行的轉運；

### 制裁的執行

17. 決定會員國在本決議通過後 90 天內以及此後在接獲委員會要求時，向安全理事會報告它們為有效執行本決議規定而採取的具體措施，請專家小組同其他聯合國制裁監測組合作，繼續努力協助會員國及時編寫和提交這些報告；

18. 促請所有會員國加倍努力，充分執行第 1718 (2006)、1874 (2009)、2087 (2013)、2094 (2013)、2270 (2016)、2321 (2016)、2356 (2017)、2371 (2017)、2375 (2017) 號決議和本決議所載措施，

並在這樣做的過程中，尤其是在檢查、發現和扣押這些決議禁止轉讓的物項時相互合作；

19. 決定第 1718(2006)號決議第 12 段規定的委員會任務適用於本決議規定的措施，還決定第 1874(2009)號決議第 26 段規定的、經第 2345(2017)號決議第 1 段修訂的專家小組的任務也適用於本決議規定的措施；

20. 決定授權所有會員國（且所有會員國都應）以不違反安全理事會有關決議包括第 1540(2004)號決議規定的義務以及不違反《不擴散條約》、1997 年 4 月 29 日《關於禁止發展、生產、儲存和使用化學武器及銷毀此種武器的公約》和 1972 年 4 月 10 日《關於禁止發展、生產和儲存細菌（生物）及毒素武器和銷毀此種武器的公約》締約國義務的方式，扣押和處置（例如銷毀、使其失效或無法使用、儲存或轉交原產國或目的地國以外其他國家處置）在檢查過程中發現的第 1718(2006)、1874(2009)、2087(2013)、2094(2013)、2270(2016)、2321(2016)、2356(2017)、2371(2017)、2375(2017)號決議或本決議禁止供應、銷售、轉讓或出口的物項；

21. 強調所有國家包括朝鮮必須採取必要措施，確保朝鮮或朝鮮境內任何人或實體、或被指認適用第 1718(2006)、1874(2009)、2087(2013)、2094(2013)、2270(2016)、2321(2016)、2356(2017)、2371(2017)、2375(2017)號決議或本決議所述措施的個人或實體，抑或通過上述個人或實體或為其利益提出索賠的任何人，不得以本決議或以往各項決議所規定的措施造成任何合同或其他交易無法執行為由提出索賠；

22. 強調第 1718(2006)、1874(2009)、2087(2013)、2094(2013)、2270(2016)、2321(2016)、2356(2017)、2371(2017)、2375(2017) 號決議或本決議規定的措施不得以任何方式妨礙駐朝鮮外交和領事使團依照《維也納外交關係公約》和《維也納領事關係公約》從事的活動；

## 政治

23. 重申安理會深切關注朝鮮人民遭受的嚴重困難，譴責朝鮮在朝鮮人民有大量需求未得到滿足的情況下不顧人民福祉，尋求發展核武器和彈道導彈，強調朝鮮須尊重和確保朝鮮人民的福祉和固有尊嚴，並要求朝鮮停止以朝鮮人民為代價，將稀缺資源挪用於發展核武器和彈道導彈；

24. 感到遺憾的是，朝鮮將其稀缺資源大規模挪用於發展核武器和若干耗資巨大的彈道導彈計劃，注意到聯合國人道主義援助協調處的調查結果，即遠遠超過一半的朝鮮人民在糧食和醫療保健方面嚴重無保障，其中大量孕婦、哺乳期婦女及五歲以下兒童面臨營養不良風險，全部人口中 41% 營養不足，在這方面表示深為關切朝鮮人民遭受的嚴重困難；

25. 重申第 1718(2006)、1874(2009)、2087(2013)、2094(2013)、2270(2016)、2321(2016)、2356(2017)、2371(2017)、2375(2017) 號決議和本決議規定的措施無意對朝鮮平民造成不利的人道主義影響，也無意對第 1718(2006)、1874(2009)、2087(2013)、2094(2013)、2270(2016)、2321(2016)、2356(2017)、2371(2017)、2375(2017) 號決議或本決議沒有禁止的活動，包括經濟活動與合作、糧食援助和人道主義援助，以及在朝鮮為朝鮮平民開展援助和救濟活動的國際組

織和非政府組織的工作產生不利影響，強調指出朝鮮須充分滿足朝鮮人民的生活需要，對此負有首要責任，決定委員會凡在它認定為協助這些組織在朝鮮開展工作，或出於符合上述決議目標的其他任何目的而有必要給予豁免的情況下，可逐案對任何活動免於適用這些決議規定的措施；

26. 重申安理會對六方會談的支持，呼籲恢復六方會談，重申支持中國、朝鮮、日本、大韓民國、俄羅斯聯邦和美國在 2005 年 9 月 19 日共同聲明中作出的承諾，包括承諾六方會談的目標是以和平方式實現可核查的朝鮮半島無核化以及朝鮮早日返回《不擴散條約》和國際原子能機構的保障監督，同時銘記《不擴散條約》締約國的權利和義務並着重指出《不擴散條約》所有締約國須繼續遵守其條約義務，美國和朝鮮承諾彼此尊重主權並和平共處，六方承諾促進經濟合作，以及所有其他相關承諾；

27. 重申維護朝鮮半島和整個東北亞和平與穩定的重要性，表示安理會承諾以和平、外交和政治方式化解這一局勢，歡迎安理會成員及其他國家為通過對話實現和平及全面解決提供便利，強調指出努力緩和朝鮮半島內外緊張局勢的重要性；

28. 申明安理會將不斷審查朝鮮的行動，準備根據朝鮮遵守規定的情況，視需要加強、修改、暫停或解除這些措施，在這方面，表示決心在朝鮮再次進行核試驗或發射活動的情況下進一步採取重大措施，並決定若朝鮮再次進行核子試驗或發射能夠達到洲際射程的彈道導彈系統或有助於開發能夠達到洲際射程的彈道導彈系統的彈道導彈系統，則安全理事會將採取行動，進一步限制對朝鮮的石油出口；

29. 決定繼續處理此案。

## 附件一

### 旅行禁令/資產凍結（個人）

#### 1. CH'OE SO'K MIN

- a. 說明：Ch'oe So'k-min 是外貿銀行海外代表。Ch'oe So'k-min 於 2016 年擔任外貿銀行在同一海外辦事處的副代表。他一直參與將外貿銀行該海外辦事處的現金轉移給朝鮮特別組織的附屬銀行和朝鮮偵察總局海外特工人員，力圖逃避制裁。
- b. 別名：不詳
- c. 識別信息：出生日期：1978 年 7 月 25 日；國籍：朝鮮；性別：男

#### 2. CHU HYO'K

- a. 說明：Chu Hyo'k 是朝鮮國民，外貿銀行海外代表。
- b. 別名：Ju Hyok
- c. 識別信息：出生日期：1986 年 11 月 23 日；護照號：836420186，2016 年 10 月 28 日簽發，2021 年 10 月 28 日到期；國籍：朝鮮；性別：男

#### 3. KIM JONG SIK

- a. 說明：是指導朝鮮大規模毀滅性武器開發工作的主要官員。擔任朝鮮勞動黨軍需工業部副部長。
- b. 別名：Kim Cho'ng-sik

- c. 識別信息：出生年份：1967 年到 1969 年之間；國籍：朝鮮；性別：男；地址：朝鮮

4. KIM KYONG IL

- a. 說明：Kim Kyong Il 是外貿銀行駐利比亞副首席代表。
- b. 別名：Kim Kyo'ng-il
- c. 識別信息：所在地：利比亞；出生日期：1979 年 8 月 1 日；護照號：836210029；國籍：朝鮮；性別：男

5. KIM TONG CHOL

- a. 說明：Kim Tong Chol 是外貿銀行海外代表。
- b. 別名：Kim Tong-ch'o'l
- c. 識別信息：出生日期：1966 年 1 月 28 日；國籍：朝鮮；性別：男

6. KO CHOL MAN

- a. 說明：Ko Chol Man 是外貿銀行海外代表。
- b. 別名：Ko Ch'o'l-man
- c. 識別信息：出生日期：1967 年 9 月 30 日；護照號：472420180；國籍：朝鮮；性別：男

7. KU JA HYONG

- a. 說明：Ku Ja Hyong 是外貿銀行駐利比亞首席代表。
- b. 別名：Ku Cha-hyo'ng

- c. 識別信息：所在地：利比亞；出生日期：1957年9月8日；國籍：朝鮮；性別：男

8. MUN KYONG HWAN

- a. 說明：Mun Kyong Hwan 是東方銀行海外代表。
- b. 別名：Mun Kyo'ng-hwan
- c. 識別信息：出生日期：1967年8月22日；護照號：381120660，2016年3月25日到期；國籍：朝鮮；性別：男

9. PAE WON UK

- a. 說明：Pae Won Uk 是大成銀行海外代表。
- b. 別名：Pae Wo'n-uk
- c. 識別信息：出生日期：1969年8月22日；國籍：朝鮮；性別：男；護照號：472120208，2017年2月22日到期

10. PAK BONG NAM

- a. 說明：Pak Bong Nam 是 Ilsim 國際銀行海外代表。
- b. 別名：Lui Wai Ming；Pak Pong Nam；Pak Pong-nam
- c. 識別信息：出生日期：1969年5月6日；國籍：朝鮮；性別：男

11. PAK MUN IL

- a. 說明：Pak Mun Il 是朝鮮大成銀行海外官員。

- b. 別名：Pak Mun-il
- c. 識別信息：出生日期：1965 年 1 月 1 日；護照號：563335509，2018 年 8 月 27 日到期；國籍：朝鮮；性別：男

#### 12. RI CHUN HWAN

- a. 說明：Ri Chun Hwan 是外貿銀行海外代表。
- b. 別名：Ri Ch'un-hwan
- c. 識別信息：出生日期：1957 年 8 月 21 日；護照號：563233049，2018 年 5 月 9 日到期；國籍：朝鮮；性別：男

#### 13. RI CHUN SONG

- a. 說明：Ri Chun Song 是外貿銀行海外代表。
- b. 別名：Ri Ch'un-so'ng
- c. 識別信息：出生日期：1965 年 10 月 30 日；護照號：654133553，2019 年 3 月 11 日到期；國籍：朝鮮；性別：男

#### 14. RI PYONG CHUL

- a. 說明：朝鮮勞動黨政治局候補委員兼軍需工業部第一副部長
- b. 別名：Ri Pyo'ng-ch'o'l
- c. 識別信息：出生年份：1948 年；國籍：朝鮮；性別：男；地址：朝鮮



## 15. RI SONG HYOK

- a. 說明：Ri Song Hyok 是高麗銀行和高麗信用開發銀行的海外代表，據報告，他代表朝鮮建立幌子公司用於採購物項和進行金融交易。
- b. 別名：Li Cheng He
- c. 識別信息：出生日期：1965 年 3 月 19 日；國籍：朝鮮；  
性別：男

## 16. RI U'N SO'NG

- a. 說明：Ri U'n-so'ng 是朝鮮統一發展銀行海外代表。
- b. 別名：Ri Eun Song；Ri Un Song
- c. 識別信息：出生日期：1969 年 7 月 23 日；國籍：朝鮮；  
性別：男

## 附件二

### 資產凍結（實體）

#### 1. 人民武裝力量省

- a. 說明：人民武裝力量省負責管理朝鮮人民軍的一般行政和後勤需要。
- b. 所在地：朝鮮平壤

## Resolution 2397 (2017)

**Adopted by the Security Council at its 8151st meeting, on  
22 December 2017**

*The Security Council,*

*Recalling* its previous relevant resolutions, including resolution 825 (1993), resolution 1695 (2006), resolution 1718 (2006), resolution 1874 (2009), resolution 1887 (2009), resolution 2087 (2013), resolution 2094 (2013), resolution 2270 (2016), resolution 2321 (2016), resolution 2356 (2017), resolution 2371 (2017), resolution 2375 (2017), as well as the statements of its President of 6 October 2006 (S/PRST/2006/41), 13 April 2009 (S/PRST/2009/7), 16 April 2012 (S/PRST/2012/13), and 29 August 2017 (S/PRST/2017/16),

*Reaffirming* that proliferation of nuclear, chemical and biological weapons, as well as their means of delivery, constitutes a threat to international peace and security,

*Expressing* its gravest concern at the ballistic missile launch by the Democratic People's Republic of Korea ("the DPRK") on 28 November 2017 in violation of resolutions 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013), 2270 (2016) 2321 (2016), 2356 (2017), 2371 (2017), and 2375 (2017) and at the challenge such a test constitutes to the Treaty on Non-Proliferation of Nuclear Weapons ("the NPT") and to international efforts aimed at strengthening the global regime of non-proliferation of nuclear weapons, and the danger it poses to peace and stability in the region and beyond,

*Underlining* once again the importance that the DPRK respond to other security and humanitarian concerns of the international community including the necessity of the DPRK respecting and ensuring the welfare, inherent dignity, and rights of people in the DPRK, and *expressing great concern* that the DPRK continues to develop nuclear weapons and ballistic missiles by diverting critically needed resources away from the people in the DPRK at tremendous cost when they have great unmet needs,

*Acknowledging* that the proceeds of the DPRK's trade in sectoral goods, including but not limited to coal, iron, iron ore, lead, lead ore, textiles, seafood, gold, silver, rare earth minerals, and other prohibited metals, as well as the revenue generated from DPRK workers overseas, among others, contribute to the DPRK's nuclear weapons and ballistic missile programs,

*Expressing* its gravest concern that the DPRK's ongoing nuclear- and ballistic missile-related activities have destabilized the region and beyond, and *determining* that there continues to exist a clear threat to international peace and security,

*Acting* under Chapter VII of the Charter of the United Nations, and taking measures under Article 41,

1. *Condemns* in the strongest terms the ballistic missile launch conducted by the DPRK on 28 November 2017 in violation and flagrant disregard of the Security Council's resolutions;

2. *Reaffirms* its decisions that the DPRK shall not conduct any further launches that use ballistic missile technology, nuclear tests, or any other provocation; shall immediately suspend all activities related to its ballistic missile program and in this context re-establish its pre-existing commitments to a moratorium on all missile launches; shall immediately abandon all nuclear weapons and existing nuclear programs in a complete, verifiable and irreversible manner, and immediately cease all related activities; and shall abandon any other existing weapons of mass destruction and ballistic missile programs in a complete, verifiable and irreversible manner;

### **Designations**

3. *Decides* that the measures specified in paragraph 8(d) of resolution 1718 (2006) shall apply also to the individuals and entities listed in Annex I and II of this resolution and to any individuals or entities acting on their behalf or at their direction, and to entities owned or controlled by them, including through illicit means, and *decides* further that the measures specified in paragraph 8(e) of resolution 1718 (2006) shall also apply to the individuals listed in Annex I of this resolution and to individuals acting on their behalf or at their direction;

### **Sectoral**

4. *Decides* that all Member States shall prohibit the direct or indirect supply, sale or transfer to the DPRK, through their territories or by their nationals, or using their flag vessels, aircraft, pipelines, rail lines, or vehicles and whether or not originating in their territories, of all crude oil, unless the Committee approves in advance on a case-by-case basis a shipment of crude oil which is exclusively for livelihood purposes of DPRK nationals and unrelated to the DPRK's nuclear or ballistic missile programmes or other activities prohibited by resolutions 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013), 2270 (2016), 2321 (2016), 2356 (2017), 2371 (2017), 2375 (2017) or this resolution, further *decides* that this prohibition shall not apply with respect to crude oil that, for a period of twelve months after the date of adoption of this resolution, and for twelve months thereafter, does not exceed 4 million barrels or 525,000 tons in the aggregate per twelve month period, and *decides* that all Member States providing crude oil shall provide a report to the Committee every 90 days from the date of adoption of this resolution of the amount of crude oil provided to the DPRK;

5. *Decides* that all Member States shall prohibit the direct or indirect supply, sale or transfer to the DPRK, through their territories or by their nationals, or using their flag vessels, aircraft, pipelines, rail lines, or vehicles, and whether or not originating in their territories, of all refined petroleum products, *decides* that the DPRK shall not procure such products, *further decides* that this provision shall not apply with respect to procurement by the DPRK or the direct or indirect supply, sale, or transfer to the DPRK, through their territories or by their nationals, or using their flag vessels, aircraft, pipelines, rail lines, or vehicles, and whether or not originating in their territories, of refined petroleum products, including diesel and kerosene, in the aggregate amount of up to 500,000 barrels during a period of twelve months beginning on January 1, 2018, and for twelve month periods thereafter, provided that (a) the Member State notifies the Committee every thirty days of the amount of such

supply, sale, or transfer to the DPRK of refined petroleum products along with information about all the parties to the transaction, (b) the supply, sale, or transfer of refined petroleum products involve no individuals or entities that are associated with the DPRK's nuclear or ballistic missile programmes or other activities prohibited by resolutions 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013), 2270 (2016), 2321 (2016), 2356 (2017), 2371 (2017), 2375 (2017), or this resolution, including designated individuals or entities, or individuals or entities acting on their behalf or at their direction, or entities owned or controlled by them, directly or indirectly, or individuals or entities assisting in the evasion of sanctions, and (c) the supply, sale, or transfer of refined petroleum products are exclusively for livelihood purposes of DPRK nationals and unrelated to generating revenue for the DPRK's nuclear or ballistic missile programmes or other activities prohibited by resolutions 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013), 2270 (2016), 2321 (2016), 2356 (2017), 2371 (2017), 2375 (2017) or this resolution, *directs* the Committee Secretary beginning on 1 January 2018 to notify all Member States when an aggregate amount of refined petroleum products sold, supplied, or transferred to the DPRK of 75 per cent of the aggregate yearly amounts have been reached, also *directs* the Committee Secretary beginning on 1 January 2018 to notify all Member States when an aggregate amount of refined petroleum products sold, supplied, or transferred to the DPRK of 90 per cent of the aggregate yearly amounts have been reached, and *further directs* the Committee Secretary beginning on 1 January 2018 to notify all Member States when an aggregate amount of refined petroleum products sold, supplied, or transferred to the DPRK of 95 per cent of the aggregate yearly amounts have been reached and to inform them that they must immediately cease selling, supplying, or transferring refined petroleum products to the DPRK for the remainder of the year, *directs* the Committee to make publicly available on its website the total amount of refined petroleum products sold, supplied, or transferred to the DPRK by month and by source country, *directs* the Committee to update this information on a real-time basis as it receives notifications from Member States, *calls upon* all Member States to regularly review this website to comply with the annual limits for refined petroleum products established by this provision beginning on 1 January 2018, *directs* the Panel of Experts to closely monitor the implementation efforts of all Member States to provide assistance and ensure full and global compliance, and *requests* the Secretary-General to make the necessary arrangements to this effect and provide additional resources in this regard;

6. *Decides* that the DPRK shall not supply, sell or transfer, directly or indirectly, from its territory or by its nationals or using its flag vessels or aircraft, food and agricultural products (HS codes 12, 08, 07), machinery (HS code 84), electrical equipment (HS code 85), earth and stone including magnesite and magnesia (HS code 25), wood (HS code 44), and vessels (HS code 89), and that all States shall prohibit the procurement of the above-mentioned commodities and products from the DPRK by their nationals, or using their flag vessels or aircraft, whether or not originating in the territory of the DPRK, *clarifies* that the full sectoral ban on seafood in paragraph 9 of resolution 2371 (2017) prohibits the DPRK from selling or transferring, directly or indirectly, fishing rights, and *further decides* that for sales of and transactions involving all commodities and products from the DPRK whose transfer, supply, or sale by the DPRK are prohibited by this paragraph and for which written contracts have been finalized prior to the adoption of this resolution, all States may only allow those shipments to be imported into their territories up to 30 days from the date of adoption of this resolution with notification provided to the Committee containing details on those imports by no later than 45 days after the date of adoption of this resolution;

7. *Decides* that all Member States shall prohibit the direct or indirect supply, sale or transfer to the DPRK, through their territories or by their nationals, or using

their flag vessels, aircraft, pipelines, rail lines, or vehicles and whether or not originating in their territories, of all industrial machinery (HS codes 84 and 85), transportation vehicles (HS codes 86 through 89), and iron, steel, and other metals (HS codes 72 through 83) and *further decides* that this provision shall not apply with respect to the provision of spare parts needed to maintain the safe operation of DPRK commercial civilian passenger aircraft (currently consisting of the following aircraft models and types: An-24R/RV, An-148-100B, Il-18D, Il-62M, Tu-134B-3, Tu-154B, Tu-204-100B, and Tu-204-300);

8. *Expresses concern* that DPRK nationals continue to work in other States for the purpose of generating foreign export earnings that the DPRK uses to support its prohibited nuclear and ballistic missile programs despite the adoption of paragraph 17 of resolution 2375 (2017), *decides* that Member States shall repatriate to the DPRK all DPRK nationals earning income in that Member State's jurisdiction and all DPRK government safety oversight attachés monitoring DPRK workers abroad immediately but no later than 24 months from the date of adoption of this resolution unless the Member State determines that a DPRK national is a national of that Member State or a DPRK national whose repatriation is prohibited, subject to applicable national and international law, including international refugee law and international human rights law, and the United Nations Headquarters Agreement and the Convention on the Privileges and Immunities of the United Nations, and *further decides* that all Member States shall provide a midterm report by 15 months from the date of adoption of this resolution of all DPRK nationals earning income in that Member State's jurisdiction that were repatriated over the 12 month period starting from the date of adoption of this resolution, including an explanation of why less than half of such DPRK nationals were repatriated by the end of that 12 month period if applicable, and all Member States shall provide final reports by 27 months from the date of adoption of this resolution;

#### **Maritime Interdiction of Cargo Vessels**

9. *Notes with great concern* that the DPRK is illicitly exporting coal and other prohibited items through deceptive maritime practices and obtaining petroleum illegally through ship-to-ship transfers and *decides* that Member States shall seize, inspect, and freeze (impound) any vessel in their ports, and may seize, inspect, and freeze (impound) any vessel subject to its jurisdiction in its territorial waters, if the Member State has reasonable grounds to believe that the vessel was involved in activities, or the transport of items, prohibited by resolutions 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013), 2270 (2016), 2321 (2016), 2356 (2017), 2371 (2017), 2375 (2017), or this resolution, *encourages* Member States to consult with the flag States of relevant vessels once they are seized, inspected, and frozen (impounded), and *further decides* that, after six months from the date such vessels were frozen (impounded), this provision shall not apply if the Committee decides, on a case-by-case basis and upon request of a flag State, that adequate arrangements have been made to prevent the vessel from contributing to future violations of these resolutions;

10. *Decides* that when a Member State has information to suspect that the DPRK is attempting to supply, sell, transfer or procure, directly or indirectly, illicit cargo, that Member State may request additional maritime and shipping information from other relevant Member States, including to determine whether the item, commodity, or product in question originated from the DPRK, *further decides* that all Member States receiving such inquiries shall respond as promptly as possible to such requests in an appropriate manner, *decides* that the Committee, with the support of its Panel of Experts, shall facilitate timely coordination of such information requests through an expedited process, and *requests* the Secretary-General to make the

necessary arrangements to this effect and provide additional resources to the Committee and the Panel of Experts in this regard;

11. *Reaffirms* paragraph 22 of resolution 2321 (2016) and *decides* that each Member State shall prohibit its nationals, persons subject to its jurisdiction and entities incorporated in its territory or subject to its jurisdiction from providing insurance or re-insurance services to vessels it has reasonable grounds to believe were involved in activities, or the transport of items, prohibited by resolutions 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013), 2270 (2016), 2321 (2016), 2356 (2017), 2371 (2017), 2375 (2017), or this resolution, unless the Committee determines on a case-by-case basis that the vessel is engaged in activities exclusively for livelihood purposes which will not be used by DPRK individuals or entities to generate revenue or exclusively for humanitarian purposes;

12. *Reaffirms* paragraph 24 of resolution 2321 (2016) and *decides* that each Member State shall de-register any vessel it has reasonable grounds to believe was involved in activities, or the transport of items, prohibited by resolutions 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013), 2270 (2016), 2321 (2016), 2356 (2017), 2371 (2017), 2375 (2017), or this resolution and prohibit its nationals, persons subject to its jurisdiction and entities incorporated in its territory or subject to its jurisdiction from thereafter providing classification services to such a vessel except as approved in advance by the Committee on a case-by-case basis, and *further decides* that Member States shall not register any such vessel that has been de-registered by another Member State pursuant to this paragraph except as approved in advance by the Committee on a case-by-case basis;

13. *Expresses concern* that DPRK-flagged, controlled, chartered, or operated vessels intentionally disregard requirements to operate their automatic identification systems (AIS) to evade UNSCR sanctions monitoring by turning off such systems to mask their full movement history and *calls upon* Member States to exercise enhanced vigilance with regards to such vessels conducting activities prohibited by resolutions 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013), 2270 (2016), 2321 (2016), 2356 (2017), 2371 (2017), 2375 (2017), or this resolution;

14. *Recalls* paragraph 30 of resolution 2321 (2016) and *decides* that all Member States shall prevent the direct or indirect supply, sale or transfer to the DPRK, through their territories or by their nationals, or using their flag vessels or aircraft, and whether or not originating in their territories, of any new or used vessels, except as approved in advance by the Committee on a case-by-case basis;

15. *Decides* that, if a Member State has information regarding the number, name, and registry of vessels encountered in its territory or on the high seas that are designated by the Security Council or by the Committee as subject to the asset freeze imposed by paragraph 8 (d) of resolution 1718 (2006), the various measures imposed by paragraph 12 of resolution 2321 (2016), the port entry ban imposed by paragraph 6 of resolution 2371 (2017), or relevant measures in this resolution, then the Member State shall notify the Committee of this information and what measures were taken to carry out an inspection, an asset freeze and impoundment or other appropriate action as authorized by the relevant provisions of resolutions 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013), 2270 (2016), 2321 (2016), 2356 (2017), 2371 (2017), 2375 (2017), or this resolution;

16. *Decides* that the provisions of this resolution shall not apply with respect solely to the trans-shipment of Russia-origin coal to other countries through the Russia-DPRK Rajin-Khasan port and rail project, as permitted by paragraph 8 of resolution 2371 (2017) and paragraph 18 of resolution 2375 (2017);

### **Sanctions Implementation**

17. *Decides* that Member States shall report to the Security Council within ninety days of the adoption of this resolution, and thereafter upon request by the Committee, on concrete measures they have taken in order to implement effectively the provisions of this resolution, *requests* the Panel of Experts, in cooperation with other UN sanctions monitoring groups, to continue its efforts to assist Member States in preparing and submitting such reports in a timely manner;

18. *Calls upon* all Member States to redouble efforts to implement in full the measures in resolutions 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013), 2270 (2016), 2321 (2016), 2356 (2017), 2371 (2017), 2375 (2017) and this resolution and to cooperate with each other in doing so, particularly with respect to inspecting, detecting and seizing items the transfer of which is prohibited by these resolutions;

19. *Decides* that the mandate of the Committee, as set out in paragraph 12 of resolution 1718 (2006), shall apply with respect to the measures imposed in this resolution and *further decides* that the mandate of the Panel of Experts, as specified in paragraph 26 of resolution 1874 (2009) and modified in paragraph 1 of resolution 2345 (2017), shall also apply with respect to the measures imposed in this resolution;

20. *Decides* to authorize all Member States to, and that all Member States shall, seize and dispose (such as through destruction, rendering inoperable or unusable, storage, or transferring to a State other than the originating or destination States for disposal) of items the supply, sale, transfer, or export of which is prohibited by resolutions 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013), 2270 (2016), 2321 (2016), 2356 (2017), 2371 (2017), 2375 (2017) or this resolution that are identified in inspections, in a manner that is not inconsistent with their obligations under applicable Security Council resolutions, including resolution 1540 (2004), as well as any obligations of parties to the NPT, the Convention on the Prohibition of the Development, Production, Stockpiling and Use of Chemical Weapons and on Their Destruction of 29 April 1997, and the Convention on the Prohibition of the Development, Production and Stockpiling of Bacteriological (Biological) and Toxin Weapons and on Their Destruction of 10 April 1972;

21. *Emphasizes* the importance of all States, including the DPRK, taking the necessary measures to ensure that no claim shall lie at the instance of the DPRK, or of any person or entity in the DPRK, or of persons or entities designated for measures set forth in resolutions 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013), 2270 (2016), 2321 (2016), 2356 (2017), 2371 (2017), 2375 (2017) or this resolution, or any person claiming through or for the benefit of any such person or entity, in connection with any contract or other transaction where its performance was prevented by reason of the measures imposed by this resolution or previous resolutions;

22. *Emphasizes* that the measures set forth in resolutions 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013), 2270 (2016), 2321 (2016), 2356 (2017), 2371 (2017), 2375 (2017) and this resolution shall in no way impede the activities of diplomatic or consular missions in the DPRK pursuant to the Vienna Conventions on Diplomatic and Consular Relations;

### **Political**

23. *Reiterates* its deep concern at the grave hardship that the people in the DPRK are subjected to, *condemns* the DPRK for pursuing nuclear weapons and ballistic missiles instead of the welfare of its people while people in the DPRK have great unmet needs, *emphasizes* the necessity of the DPRK respecting and ensuring the welfare and inherent dignity of people in the DPRK, and *demands* that the DPRK stop

diverting its scarce resources toward its development of nuclear weapons and ballistic missiles at the cost of the people in the DPRK;

24. *Regrets* the DPRK's massive diversion of its scarce resources toward its development of nuclear weapons and a number of expensive ballistic missile programs, *notes* the findings of the United Nations Office for the Coordination of Humanitarian Assistance that well over half of the people in the DPRK suffer from major insecurities in food and medical care, including a very large number of pregnant and lactating women and under-five children who are at risk of malnutrition and 41% of its total population who are undernourished, and, in this context, *expresses* deep concern at the grave hardship to which the people in the DPRK are subjected;

25. *Reaffirms* that the measures imposed by resolutions 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013), 2270 (2016), 2321 (2016), 2356 (2017), 2371 (2017), 2375 (2017) and this resolution are not intended to have adverse humanitarian consequences for the civilian population of the DPRK or to affect negatively or restrict those activities, including economic activities and cooperation, food aid and humanitarian assistance, that are not prohibited by resolutions 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013), 2270 (2016), 2321 (2016), 2356 (2017), 2371 (2017), 2375 (2017) and this resolution, and the work of international and non-governmental organizations carrying out assistance and relief activities in the DPRK for the benefit of the civilian population of the DPRK, *stresses* the DPRK's primary responsibility and need to fully provide for the livelihood needs of people in the DPRK, and *decides* that the Committee may, on a case-by-case basis, exempt any activity from the measures imposed by these resolutions if the committee determines that such an exemption is necessary to facilitate the work of such organizations in the DPRK or for any other purpose consistent with the objectives of these resolutions;

26. *Reaffirms* its support for the Six Party Talks, *calls* for their resumption, and *reiterates* its support for the commitments set forth in the Joint Statement of 19 September 2005 issued by China, the DPRK, Japan, the Republic of Korea, the Russian Federation, and the United States, including that the goal of the Six-Party Talks is the verifiable denuclearization of the Korean Peninsula in a peaceful manner and the return of the DPRK to the Non-proliferation Treaty (NPT) and International Atomic Energy Agency safeguards at an early date, bearing in mind the rights and obligations of States parties to the NPT and underlining the need for all States parties to the NPT to continue to comply with their Treaty obligations, that the United States and the DPRK undertook to respect each other's sovereignty and exist peacefully together, that the Six Parties undertook to promote economic cooperation, and all other relevant commitments;

27. *Reiterates* the importance of maintaining peace and stability on the Korean Peninsula and in north-east Asia at large, and *expresses* its commitment to a peaceful, diplomatic, and political solution to the situation and welcomes efforts by the Council members as well as other States to facilitate a peaceful and comprehensive solution through dialogue and stresses the importance of working to reduce tensions in the Korean Peninsula and beyond;

28. *Affirms* that it shall keep the DPRK's actions under continuous review and is prepared to strengthen, modify, suspend or lift the measures as may be needed in light of the DPRK's compliance, and, in this regard, *expresses its determination* to take further significant measures in the event of a further DPRK nuclear test or launch, and *decides* that, if the DPRK conducts a further nuclear test or a launch of a ballistic missile system capable of reaching intercontinental ranges or contributing to the development of a ballistic missile system capable of such ranges, then the Security Council will take action to restrict further the export to the DPRK of petroleum;

29. *Decides* to remain seized of the matter.



**Annex I****Travel Ban/Asset Freeze (Individuals)**

1. CH'OE SO'K MIN
  - a. Description: Ch'oe So'k-min is an overseas Foreign Trade Bank representative. In 2016, Ch'oe So'k-min was the deputy representative at the Foreign Trade Bank branch office in that overseas location. He has been associated with cash transfers from that overseas Foreign Trade Bank office to banks affiliated with North Korean special organizations and Reconnaissance General Bureau operatives located overseas in an effort to evade sanctions.
  - b. AKA: n/a
  - c. Identifiers: DOB: 25 July 1978; Nationality: DPRK; Gender: male
2. CHU HYO'K
  - a. Description: Chu Hyo'k is a North Korean national who is an overseas Foreign Trade Bank representative.
  - b. AKA: Ju Hyok
  - c. Identifiers: DOB: 23 November 1986; Passport No. 836420186 issued 28 October 2016 expires 28 October 2021; Nationality: DPRK; Gender: male
3. KIM JONG SIK
  - a. *Description:* A leading official guiding the DPRK's WMD development efforts. Serving as Deputy Director of the Workers' Party of Korea Munitions Industry Department.
  - b. *A.K.A.:* Kim Cho'ng-sik
  - c. *Identifiers:* YOB: between 1967 and 1969; Nationality: DPRK; Gender: male; Address: DPRK
4. KIM KYONG IL
  - a. Description: Kim Kyong Il is a Foreign Trade Bank deputy chief representative in Libya.
  - b. AKA: Kim Kyo'ng-il
  - c. Identifiers: Location Libya; DOB: 01 August 1979; Passport No. 836210029; Nationality: DPRK; Gender: male
5. KIM TONG CHOL
  - a. Description: Kim Tong Chol is an overseas Foreign Trade Bank representative.
  - b. AKA: Kim Tong-ch'o'l
  - c. Identifiers: DOB: 28 January 1966; Nationality: DPRK; Gender: male
6. KO CHOL MAN
  - a. Description: Ko Chol Man is an overseas Foreign Trade Bank representative.
  - b. AKA: Ko Ch'o'l-man

- c. Identifiers: DOB: 30 September 1967; Passport No. 472420180; Nationality: DPRK; Gender: male
7. KU JA HYONG
- a. Description: Ku Ja Hyong is a Foreign Trade Bank chief representative in Libya.
  - b. AKA: Ku Cha-hyo'ng
  - c. Identifiers: Location Libya; DOB: 08 September 1957; Nationality: DPRK; Gender: male
8. MUN KYONG HWAN
- a. Description: Mun Kyong Hwan is an overseas Bank of East Land representative.
  - b. AKA: Mun Kyo'ng-hwan
  - c. Identifiers: DOB: 22 August 1967; Passport No. 381120660 expires 25 March 2016; Nationality: DPRK; Gender: male
9. PAE WON UK
- a. Description: Pae Won Uk is an overseas Daesong Bank representative.
  - b. AKA: Pae Wo'n-uk
  - c. Identifiers: DOB: 22 August 1969; Nationality: DPRK; Gender: male; Passport No. 472120208 expires 22 Feb 2017
10. PAK BONG NAM
- a. Description: Pak Bong Nam is an overseas Ilsim International Bank representative.
  - b. AKA: Lui Wai Ming; Pak Pong Nam; Pak Pong-nam
  - c. Identifiers: DOB: 06 May 1969; Nationality: DPRK; Gender: male
  - d. Nationality: DPRK; Gender: male
11. PAK MUN IL
- a. Description: Pak Mun Il is an overseas official of Korea Daesong Bank.
  - b. AKA: Pak Mun-il
  - c. Identifiers: DOB 01 January 1965; Passport No. 563335509 expires 27 August 2018; Nationality: DPRK; Gender: male
12. RI CHUN HWAN
- a. Description: Ri Chun Hwan is an overseas Foreign Trade Bank representative.
  - b. AKA: Ri Ch'un-hwan
  - c. Identifiers: DOB 21 August 1957; Passport No. 563233049 expires 09 May 2018; Nationality: DPRK; Gender: male
13. RI CHUN SONG
- a. Description: Ri Chun Song is an overseas Foreign Trade Bank representative.
  - b. AKA: Ri Ch'un-so'ng

- c. Identifiers: DOB: 30 October 1965; Passport No. 654133553 expires 11 March 2019; Nationality: DPRK; Gender: male
14. RI PYONG CHUL
- a. *Description:* Alternate Member of the Political Bureau of the Workers' Party of Korea and First Vice Director of the Munitions Industry Department.
- b. *A.K.A.:* Ri Pyo'ng-ch'o'l
- c. *Identifiers:* YOB: 1948; Nationality: DPRK; Gender: male; Address: DPRK
15. RI SONG HYOK
- a. *Description:* Ri Song Hyok is an overseas representative for Koryo Bank and Koryo Credit Development Bank and has reportedly established front companies to procure items and conduct financial transactions on behalf of North Korea.
- b. *AKA:* Li Cheng He
- c. *Identifiers:* DOB: 19 March 1965; Nationality: DPRK; Gender: male
16. RI U'N SO'NG
- a. *Description:* Ri U'n-so'ng is an overseas Korea Unification Development Bank representative.
- b. *AKA:* Ri Eun Song; Ri Un Song
- c. *Identifiers:* DOB: 23 July 1969; Nationality: DPRK; Gender: male

## Annex II

### Asset Freeze (Entities)

1. MINISTRY OF THE PEOPLE'S ARMED FORCES (MPAF)
- a. *Description:* The Ministry of the People's Armed Forces manages the general administrative and logistical needs of the Korean People's Army.
- b. *Location:* Pyongyang, DPRK

#### 批示摘錄

透過行政長官二零一八年一月二十三日批示：

李雁玲——根據現行第14/1999號行政法規《行政長官及司長辦公室通則》第十八條和第十九條的規定，由二零一八年二月一日至十二月十九日以個人勞動合同擔任行政長官辦公室顧問，其擔任同一職位的定期委任於二零一八年二月一日終止。

二零一八年一月二十四日於行政長官辦公室

辦公室代主任 盧麗卿

#### Extracto de despacho

Por despacho de S. Ex.<sup>a</sup> o Chefe do Executivo, de 23 de Janeiro de 2018:

Lei Ngan Leng — contratada por contrato individual de trabalho como assessora do Gabinete do Chefe do Executivo, de 1 de Fevereiro a 19 de Dezembro de 2018, nos termos dos artigos 18.º e 19.º do Regulamento Administrativo n.º 14/1999 (Estatuto do Gabinete do Chefe do Executivo e dos Secretários), na redacção vigente, cessando a comissão de serviço no mesmo cargo, a 1 de Fevereiro de 2018.

Gabinete do Chefe do Executivo, aos 24 de Janeiro de 2018.  
— A Chefe do Gabinete, substituta, *Lo Lai Heng*.